# Microtubule instability driven by longitudinal and lateral strain propagation

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# Abstract

Tubulin dimers associate longitudinally and laterally to form metastable microtubules (MTs). MT disassembly is preceded by subtle structural changes in tubulin fueled by GTP hydrolysis. These changes render the MT lattice unstable, but it is unclear exactly how they affect lattice energetics and strain. We performed long-time atomistic simulations to interrogate the impacts of GTP hydrolysis on tubulin lattice conformation, lateral inter-dimer interactions, and (non-)local lateral coordination of dimer motions. The simulations suggest that most of the hydrolysis energy is stored in the lattice in the form of longitudinal strain. While not significantly affecting lateral bond stability, the stored elastic energy results in more strongly confined and correlated dynamics of GDP-tubulins, thereby entropically destabilizing the MT lattice.

# **10** Introduction

Microtubules (MTs) are one of the major components of the eukaryotic cytoskeleton and essential 11 for intracellular transport, cell motility, and chromosome separation during mitosis. These are 12 filamentous assemblies of  $\alpha\beta$ -tubulin dimers stacked head-to-tail in polar protofilaments (PFs) 13 and folded into hollow tubes via lateral interactions [1,2] (Fig. 1a). Each dimer binds two GTP 14 molecules of which only the one bound to  $\beta$ -tubulin is hydrolyzed in the MT lattice over time [3,4]. 15 This hydrolysis reaction is fundamental to MT dynamic instability [5], *i.e.* random switching 16 between phases of growth and shrinkage (Fig. 1a). Remarkably, both slow assembly and rapid 17 disassembly of MTs – the latter termed *catastrophe* – are able to perform mechanical work because 18 each tubulin dimer is a storage of chemical energy [6-8]. 19

The switch from a relaxed 'curved' conformation of tubulin favored in solution to a higher-energy 20 'straight' one is inherent to MT assembly [9–15]. It allows growing MTs to recruit and temporarily 21 stabilize GTP-tubulin in the straight form, most likely due to the greater bending flexibility of GTP-22 PFs at intra- and inter-dimer interfaces [13, 16-18]. It is therefore conceivable that collapsing MTs 23 would follow a reverse pathway during disassembly; namely, they would release the conformational 24 tension stored in GDP-tubulins that lateral bonds can no longer counteract. However, due to 25 the system complexity and together with the inability of modern structural methods to directly 26 visualize all sequential steps in the GTPase cycle in the straight MT body at high resolutions, it is 27 still unknown exactly how and where the hydrolysis energy is converted to mechanical strain in the 28 lattice. 29

Recent high- and low-resolution structural studies have revealed, in line with the early finding [19], that the use of a non-hydrolyzable GTP analog, GMPCPP, for MT assembly results in a more expanded MT lattice compared to a fully hydrolyzed GDP-lattice [20–24], which is commonly interpreted as the lattice response to GTP hydrolysis (Fig. 1b). Because by itself this global lattice rearrangement does not fully indicate how and whether at all it is linked to GTP hydrolysis and



Figure 1. Tubulin life cycle and lattice compaction upon GTP hydrolysis. **a**, Cartoon representation of structural intermediates in MT assembly and disassembly. Individual dimers are composed of  $\alpha$ -tubulins (gray circles) and  $\beta$ -tubulins (orange circles when GTP-bound or cyan circles when GDP-bound). Lattice cross-sections (bottom) indicate the location of the seam interface. **b**, Local conformational changes proposed to accompany GTP hydrolysis are shown schematically (viewed from within the lumen). Each monomer is illustrated as two domains: intermediate or I and nucleotide-binding or N (C-terminal domains are not shown). Rearrangements in  $\alpha$ -tubulin around the nucleotide-binding pocket at the inter-dimer interface result in a ~0.2-nm lattice compaction. The PFs are aligned with respect to monomer  $\beta_i$  (marked with a circle). Other more subtle changes (*e.g.*, PF twisting) or intermediate nucleotide states (*e.g.*, GDP-Pi) are not shown for simplicity.

strain accumulation at the single-dimer level, several competing models of MT cap maturation and 35 MT disassembly have been proposed. According to the seam-centric or strain model [20–22], the 36 gradual build-up of longitudinal tension along the lattice upon GTP hydrolysis is the primary source 37 38 of MT instability, where the lateral interfaces play only a passive role. In this model, lattice rupture is initiated at the seam because of the greater distance, and presumably weaker interactions, between 39 PFs at this interface observed in unsymmetrized cryo-EM reconstructions. In contrast, the *holistic* 40 or bond model [23,25] assumes that MT catastrophe can be explained by a sequential weakening of 41 lateral inter-dimer contacts accompanied by a simultaneous strengthening of longitudinal contacts. 42 Here, the exceptional mechanical weakness of the seam, which has been challenged recently [26], 43 is not a prerequisite for MT catastrophe. Finally, the most recent 'no expansion' model [24] 44 provides an alternative view of the cap maturation process in which both pure GTP- and pure 45 GDP-MTs have equally compacted lattices, while the higher-energy expanded lattice induced by 46 GTP hydrolysis (mimicked by GMPCPP) corresponds to an intermediate, phosphate-releasing 47 state. This model is partially supported by the observation that the extent of lattice compaction 48 differs across different eukaryotic species [27]. 49

The coexistence of the three models originates from the fact that the interplay between tubulin 50 intrinsic strain and lateral binding inside the straight MT body is largely unclear. Indeed, the subtle 51 changes in lattice compaction and dimer-dimer contacts would be best studied within straight PF 52 assemblies in the presence or absence of lateral neighbors and conditioned on a fixed nucleotide 53 state, which has not yet been achieved. This has prompted us to assess the mechanochemistry 54 of both lattice compaction and lateral inter-dimer coupling using extensive molecular dynamics 55 (MD) simulations of (i) isolated PFs, (ii) standard (homotypic) double-PF systems, as well as 56 (iii) three-PF lattice patches. In all cases the PFs are locked in the straight conformation due to 57 the use of periodic boundaries along the MT axis. Essentially and by construction, this setup 58

<sup>59</sup> allows to probe both mechanics and lateral cooperativity of *individual* dimers embedded in straight

60 lattice regions distant from the dynamic tip. Because the three models of MT disassembly assume

<sup>61</sup> different properties of assembled tubulins and their lateral interactions, it is hence possible to test

<sup>62</sup> all models directly. By focusing on small, controllable MT-like subsystems, our simulations provide

<sup>63</sup> new insights into the lattice mechanics and energetics that drive MT disassembly.



Figure 2. Elastic properties of isolated 'infinite' PFs. **a**, Simulation setup for the single-PF system.  $\alpha$ -tubulin (gray) and  $\beta$ -tubulin (cyan) are shown in surface representation. Potassium and chloride ions are shown as orange and cyan spheres, respectively. Water molecules are hidden for clarity. Periodic box with the axial dimension  $L_z$  is marked by a black rectangle. **b**, Equilibrium probability distributions of the dimer rise in the PFs obtained from stress-free simulations of the system in **a**. Shaded areas show statistical uncertanties of the distributions estimated with umbrella sampling. Dashed lines indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs. **c**, Stress-strain curves calculated for the system in **a** in both GTP-(orange) and GDP-state (cyan). Strain is computed relative to the equilibrium dimer length of GDP-PF, and negative (positive) stresses correspond to PF compression (extension). Only separate fits to the positive and negative stress ranges are shown. **d**, Bending stiffness parameters of GTP-and GDP-MTs calculated using the elastic moduli in **b** (all stress values) and for varying PF numbers (orange and cyan dots, respectively). Experimental values (dashed lines with shaded areas) represent inverse-variance weighted means and standard deviations that combine multiple independent thermal fluctuation measurements summarized in [28] and recently updated in [29].

## $_{64}$ Results

### $_{65}$ GTP hydrolysis in $\beta$ -tubulin stiffens individual PFs

If MTs accumulate longitudinal elastic strain upon GTP hydrolysis, one would expect them to 66 change the mechanical properties of individuals PFs also in the absence of lateral interactions. We 67 therefore asked how the nucleotide state affects both equilibrium conformation and elasticity of 68 isolated PFs and how much mechanical energy can be potentially stored in a single dimer upon 69 GTP hydrolysis. The recent cryo-EM reconstructions of MTs in non-hydrolyzable GMPCPP-70 (mimicking GTP) and GDP-state [21, 22] enabled us to construct atomistic models of isolated 71 PFs (Fig. 2a) using correlation-driven molecular dynamics [30] and to assess their equilibrium and 72 elastic properties by atomistic MD simulations (see Methods for details on system preparation, 73 cryo-EM model refinement, simulation protocol). 74

To assess the equilibrium properties of isolated PFs at room temperature, we first performed 75 multiple simulations of GTP- and GDP-PFs totaling  $\sim 23 \ \mu s$  and  $\sim 13 \ \mu s$ , respectively, following 76 a previously published protocol [31]. We monitored the dynamics of both tubulin dimer shape 77 and axial periodic box size  $L_z$ , *i.e.* the lattice spacing (Fig. 2a). There are three possibilities 78 how the conformation of the PF could contribute to an increase or decrease of  $L_z$ : (a) changes 79 at the intra-dimer interface between  $\alpha$ - and  $\beta$ -subunits belonging to the same dimer (referred to 80 as 'dimer spacing'), (b) changes at the inter-dimer interface between  $\alpha$ - and  $\beta$ -subunits belonging 81 to neighboring dimers along the PF axis (referred to as 'PF spacing'), and (c) changes in the 82 shapes of  $\alpha$ - and  $\beta$ -subunits due to elastic deformations. We then employed the Functional Mode 83 Analysis [32, 33] to train a regression model on the dynamics of  $L_z$  and to derive a reaction 84 coordinate that best describes the compaction/expansion dynamics of the PF in equilibrium (see 85 Methods). This reaction coordinate, that we termed 'dimer rise' for consistency with cryo-EM 86 experiments, was used in all subsequent free energy calculations. 87

Figure 2b shows the equilibrium probability distributions of the dimer rise as a function of the 88 nucleotide state computed with additional umbrella sampling simulations ( $\sim 114 \ \mu s$  of cumulative 89 simulation time; see Methods). Both GTP- and GDP-PFs slightly increased the lattice period 90 during the simulations relative to their initial crvo-EM conformations. This slight elongation might 91 be caused by thermal expansion of the 70 - 80 K cryo-EM structures after re-equilibration at room 92 temperature. This possibility is supported by the observation that the relative elongation is largely 93 independent of system size and sampling, as will be seen further. However, GTP-PFs maintained a 94 significantly longer dimer rise compared with GDP-PFs ( $+0.25 \pm 0.07$  nm), consistent with the 95 experimentally observed difference of  $\sim 0.2$  nm. In the following, we will refer to these two states as 96 expanded and compacted. Hence, it is likely that the difference between the global states of MT 97 structure seen by cryo-EM reflects a local response of tubulin to GTP hydrolysis; otherwise, it 98 would vanish in the absence of lateral contacts. 99

Further, GTP-PFs sampled a wider range of dimer rise values as indicated by the distribution widths in Fig. 2b, which suggests that GTP-PFs are mechanically more flexible. We previously showed that, when placed in solution, GTP-tubulin exhibits higher bending flexibility than GDPtubulin [17]. It was therefore surprising that, when tubulin was locked in the straight MT-like conformation, also the longitudinal elasticity of the dimer was affected by the nucleotide state.

To quantify the mechanical elasticity of the system in Fig. 2a, we performed a set of steady-state 105 compression/extension simulations at constant values of the axial component  $P_{zz}$  of the pressure 106 tensor (along the PF). Figure 2c shows the obtained strain-stress curves, where the strain was 107 computed relative to the equilibrium conformation of GDP-PFs. Irrespective of the nucleotide state. 108 the stress-strain data clearly falls into two elastic regimes: a rather soft response for positive stresses 109 (extension) and a much stiffer response for negative stresses (compression). This previously observed 110 behavior of GDP-tubulin [31], which we here confirmed using higher quality structures of both 111 nucleotide states and wider strain ranges, emerges likely because different parts of the heterodimer 112 are involved in the mechanical response upon compression or extension. Whereas extension mainly 113 stretches the inter-dimer and, to a lesser extent, intra-dimer interfaces, compression forces individual 114

monomers to change their shapes, causing much more resistance. We therefore analyzed the positive
 and negative strain ranges separately.

A linear fit to the negative stress data of the GTP- and GDP-PF simulations yielded elastic 117 moduli of  $0.89 \pm 0.07$  GPa and  $1.77 \pm 0.13$  GPa, respectively. Fitting to the positive stress data 118 yielded systematically smaller moduli of  $0.37 \pm 0.07$  GPa (GTP-PF) and  $0.53 \pm 0.03$  GPa (GDP-PF). 119 A fit to the entire stress range analyzed in our simulations resulted in values of  $0.82 \pm 0.06$  GPa 120 (GTP-PF) and  $1.55 \pm 0.15$  GPa (GDP-PF) that agreed better with the moduli obtained by fitting 121 the negative stress data only. Whereas the single-PF system tolerated high compression stresses 122 up to  $P_{zz} = +200$  bar without undergoing plastic deformations and irrespective of the nucleotide 123 state, this was not the case for extension stresses. GTP-PFs withstood stretching up to  $P_{zz} = -65$ 124 bar without rupturing at the inter-dimer interface in the course of our simulations ( $\sim 1 \ \mu s$  each). In 125 contrast, GDP-PFs ruptured already at stress values below  $P_{zz} = -40$  bar, implying that a lower 126 force is likely sufficient to break the longitudinal bond. Although more sampling would be required 127 128 to investigate PF rupture pathways, our stress-strain data (Fig. 2c) together with the equilibrium free energy calculations (Fig. 2b) support the interpretation that straight GDP-PFs are stiffer than 129 GTP-PFs while they might possess more fragile inter-dimer longitudinal bonds. 130

The factor of two difference in the elastic moduli of GTP- and GDP-PFs is remarkable given the 131 high structural similarity of the two conformational states. Careful review of existing measurements 132 of MT bending mechanics reveals that, despite variations in the experimental protocols and 133 theoretical models used to analyze such data, MTs are intrinsically softer when polymerized in the 134 presence of GMPCPP and/or Taxol [28,29]. This is reflected, e.g., in a significantly distinct bending 135 stiffness  $E \times I$ , where E is the elastic modulus and I is the second moment of the cross-sectional 136 area of the MT. We therefore asked if the nucleotide-dependent elasticity of PFs (Fig. 2b,c) might 137 explain the experimentally observed differences in coarse-grained elastic properties of MTs. 138

Bending stiffness of MTs is typically obtained by monitoring and quantifying their equilibrium 139 fluctuations or by directly applying a force to bend MTs and then measure their resistance (e.g., by 140 using optical tweezers) [28]. It is known that in thermal fluctuation experiments, MTs behave on 141 average stiffer than in force-probing experiments [28,29]. It has been proposed that this discrepancy 142 can be reconciled by taking into account that large deformations caused by external forces acting on 143 MTs could surpass the elastic limit, which would lead to non-elastic deformations of tubulin dimers 144 and/or breakage of inter-dimer contacts [29]. Because such events are, by construction, unlikely 145 to happen in our simulation setup, we compared our results only with equilibrium fluctuation 146 experiments, where tubulin dimers are mostly subject to small-strain elastic deformations. 147

Figure 2d compares bending stiffnesses of hypothetical MTs with varying PF numbers calculated 148 using our data in Fig. 2c (all stress values) and consensus values calculated as precision-weighted 149 averages from a pool of independent experimental measurements (reviewed in [28] and recently 150 updated in [29]; see Methods). The comparison revealed that a good agreement between the 151 experimental data and our calculations can only be achieved if the PF number is approximately 14 152 for both GTP- and GDP-MTs. It is known that MT mechanics is highly sensitive to changes in 153 the PF number (see Discussion in [34]). Most MTs polymerized in vitro without co-factors and 154 MT-binding drugs possess 14 PFs [35], with ratios of 13-PF to 14-PF MTs reaching approximately 155 1:9 for GDP-MTs and 1:3 for GMPCPP-MTs [21,22]. Assuming that tubulin axial elasticity does 156 not depend on the PF number, the two-fold higher bending stiffness of GDP-MTs can be accounted 157 for almost entirely by a two-fold higher elastic modulus of GDP-PFs, at least for small-strain 158 deformations. 159

Finally, the good agreement of our elasticity calculations with experimental knowledge allowed us to estimate that a free energy of  $\Delta G_{\rm el} \approx 11.6 \text{ k}_{\rm B}\text{T}$ , where k<sub>B</sub> is the Boltzmann constant, would be stored in a GTP-PF per dimer when mechanically compressed to the state of a GDP-PF (see Supplementary Material). Remarkably, this energy is very close to both the energy harvested by MTs upon GTP hydrolysis [19,36] and the maximal excess energy that can be stored in an MT lattice to maintain one of the most favorable configurations (~11 k<sub>B</sub>T per dimer for MTs with 13 or 14 PFs [37–39]). Together with the consistency of our calculated elastic moduli with the

observed softening of GMPCPP-MTs or Taxol-stabilized MTs vs. GDP-MTs, this strongly suggests 167 that almost the entire energy available from GTP hydrolysis is stored in the MT lattice in the form 168 of longitudinal elastic strain. We note that, during model preparation, the GMPCPP molecules 169 were manually converted to GTP, and the starting structures were allowed to adapt to this change 170 in the subsequent production simulations (see Methods). However, it cannot be ruled out that 171 some effects of GMPCPP on the dimer conformation may still remain. Nevertheless, in the absence 172 of alternative high-resolution models of the putative GTP state, we consider our tubulin model a 173 sufficiently good approximation of the true GTP-tubulin structure in MTs. 174



Figure 3. Lateral coupling and nucleotide state affect PF dynamics. **a**, Simulation setup for the double-PF system mimicking a standard (homotypic) lateral interface. Color coding as in Fig. 2a. Water molecules are hidden for clarity. Periodic box is marked by a black rectangle. Individual PFs are labeled as (1) and (2). **b** and **c**, Free energy surfaces of the system in **a** as a function of dimer rise and nucleotide state obtained by umbrella sampling. The surfaces are color-coded by free energy values with an increment of 1 k<sub>B</sub>T (dark red to gray). Black solid lines additionally show isoenergetic contours. Orange and cyan circles indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs, respectively. Cartooned dimers in **b** schematically show the extreme conformations of the double-PF system in which both are similarly expanded or compacted (along the diagonal) or in conflicting conformations (along the anti-diagonal). The relative shift of 0.19 nm between the minima of the free energy sufraces in **b** and **c** is additionally indicated.

#### Lateral coupling and GTP hydrolysis reduce conformational freedom of tubulin in PFs

One of the key unanswered questions is how the MT lattice would accommodate laterally coupled dimers in conflicting conformational states (expanded *vs.* compacted), a situation that is very likely to arise downstream from the growing MT tip. It was previously speculated that such a structural conflict would either weaken the lateral interactions between incompatible dimers or increase the rate of GTPase activity [40, 41]. In the latter case, the hydrolysis-triggered compaction of an expanded dimer located next to a compacted dimer would be more favorable. However, testing these hypotheses experimentally is currently challenging.

To get insight into how the presence and conformation of a lateral neighbor affects the compactionexpansion dynamics of tubulin in PFs, we constructed atomistic models of double-PF systems in both nucleotide states (Fig. 3*a*; see Methods for model refinement and simulation protocol). We then computed free energy surfaces of the double-PF systems as a function of dimer rise and nucleotide state using the umbrella sampling approach with ~80  $\mu$ s of cumulative simulation time (Fig. 3*b*,*c*; see Supplementary Fig. 1 for statistical uncertainties). Like the isolated PFs (Fig. 2*b*), the double-PF systems adopted, on average, slightly more expanded conformations relative to their starting cryo-EM structures, most likely, due to thermal expansion. Also, the constant shift between the two distributions by  $0.19 \pm 0.05$  nm was preserved, which was close to the experimentally observed difference of ~0.2 nm and, within statistical error, consistent with the difference of  $0.25 \pm 0.07$  nm calculated for the isolated PFs (Fig. 2b).

As described above, one would expect each PF in the double-PF system to behave differently 195 depending on both conformational state of the neighbor and own nucleotide state. In particular, 196 their motion should be statistically correlated due to lateral coupling. In addition, the substantial 197 difference in mechanical flexibility of isolated GTP- and GDP-PFs (Fig 2b,c) should be reflected in 198 the dynamics of coupled PFs as well. To test these expectations, we introduced two metrics to 199 quantify the changes in the double-PF free energy profiles upon nucleotide exchange. First, we used 200 the normalized mutual information (NMI) which is a mere statistical measure of both linear and 201 nonlinear correlation between two stochastic variables (see Methods for the rigorous definition). For 202 203 the particular system in Fig. 3a, NMI would be zero if the PFs moved fully independently or unity if their dimer rise fluctuations were fully synchronized. Second, we used the *confinement entropy* 204 H<sub>conf</sub> that quantifies the conformational space 'volume' available to both PFs, irrespective of how 205 much the PF motions are correlated (see Methods for the rigorous definition). For the particular 206 system in Fig. 3a, H<sub>conf</sub> would be close to zero if the dimer rise fluctuations were strongly localized 207 around fixed values or maximal if all dimer rise values were equally likely. Both stronger inter-PF 208 correlation (higher NMI) and higher PF stiffness (lower  $H_{conf}$ ) would naturally result into the PFs 209 having a more restrictive influence on each other, moving the double-PF system up in free energy 210 due to the associated loss of conformational entropy. Vice versa, the joint conformational space 211 increases when the PFs become more flexible and their fluctuations become less correlated, hence 212 moving the double-PF system down in free energy. 213

As visible from the free energy surfaces having elliptic shapes extended along the diagonal 214 (Fig. 3b,c) and supported by the calculated NMI values, the conformations of the double-PF system 215 in which the PFs were similarly expanded or compacted were lower in free energy than those 216 in which the PFs adopted conflicting conformations. Thus, the PFs have a mutually restrictive 217 influence on each other, penalizing configurations in which the PF conformations are too different. 218 As a result, the double-PF system exhibits more correlated dynamics than would be the case if 219 the PFs were isolated. Furthermore, the correlation and confinement effect was stronger for the 220 system in GDP-state ( $\Delta NMI = +0.06$  and  $\Delta H_{conf} = -1.0$  bits compared to GTP-state). Together 221 with the PF stiffening upon GTP hydrolysis (Fig. 2b,c), this suggests that GTP hydrolysis further 222 reduces the conformational space available to tubulin dimers in the double-PF system, making it 223 thermodynamically less favorable than that in GTP-state. 224

# Nearest-neighbor interactions between PFs modulate GTPase response of tubulin

Our observation that the double-PF system favors conformations in which the PFs are similarly 227 expanded/compacted suggests that the system is less stable when there is a conformational mismatch 228 between the PFs, likely because the lateral bond would be under excessive shear tension. To quantify 229 the extent of lateral bond destabilization by the conformational mismatch between the PFs, we 230 considered a thermodynamic cycle shown in Fig. 4a, following a previous scheme [42]. We assume 231 that the equilibrium conformation of the double-PF system can be changed into the one with 232 a conformational mismatch between the PFs (vertical transitions in Fig. 4a). The free energy 233 cost associated with this transformations  $(\Delta G_{eq \rightarrow mis})$  was calculated using our previous umbrella 234 sampling results for both single- and double-PF system (Fig. 2b and Fig. 3b,c; see Supplementary 235 Material). Because the sum over all transition paths in the cycle must vanish, the difference between 236 these values,  $\Delta G_{eq \to mis}^{double} - \Delta G_{eq \to mis}^{single}$ , equals the bond stability of the mismatched double-PF system relative to the equilibrium case,  $\Delta \Delta G^{assoc} = \Delta G_{mis}^{assoc} - \Delta G_{eq}^{assoc}$  (horizontal transitions in Fig. 4*a*). Hence, a positive  $\Delta \Delta G^{assoc}$  equally implies that (a) the PF association is less favorable when the 237 238 239

- <sup>240</sup> PFs are in conflicting compaction states, or that (b) the GTPase response of an expanded dimer is
- <sup>241</sup> stimulated if its nearest neighbor is in the compacted state.



Figure 4. Relative thermodynamic stability of the lateral bond in the double-PF system. **a**, Thermodynamic cycle demonstrating the idea behind estimating the effect of unequal PF conformations on the association free energy between the PFs. While simulating the horizontal transitions (PF association) is computationally more expensive, the free energy changes linked to the vertical transitions (PF compaction) have already been obtained (Fig. 2 and Fig. 3). **b** and **c**, Distributions of the relative stability of the double-PF systems with respect to their equilibrium conformations marked with orange and cyan circles for GTP- and GDP-state, respectively, as a function of dimer rise and nucleotide state. White circles denote conformations with the strongest observed dimer rise mismatch. Free energy color coding is adjusted such that red (blue) areas correspond to conformations of the double-PF system in which the lateral bond is destabilized (stabilized) relative to equilibrium. White areas correspond to no change in the lateral bond stability.

Figure 4b, c shows  $\Delta\Delta G^{\text{assoc}}$  relative to the lowest-energy system configuration (free energy 242 minima in Fig. 3b,c, respectively) as a function of dimer rise and nucleotide state. The calculations 243 suggest that a conformational mismatch between the PFs would have a statistically significant 244 effect on the thermodynamic stability of the double-PF system, corresponding to a change of 245  $\Delta\Delta G^{\rm assoc} = +4.0 \pm 1.6 \, \rm k_B T$  (equilibrium constant fold-change by ~55). In contrast, simultaneous 246 compaction/expansion of the two PFs has no statistically significant effect on the stability of the 247 double-PF system with a relative change of  $\Delta\Delta G^{\rm assoc} = -1.0 \pm 1.5 k_{\rm B}T$  (equilibrium constant 248 fold-change by  $\sim 0.37$ ), implying that the lateral bond is stabilized once the conformational mismatch 249 is resolved. Our results, therefore, provide quantitative evidence for the previous ideas that a 250 structural conflict at the lateral interface due to unequal nucleotide states would either weaken 251 it or locally increase the rate of GTPase activity [40, 41], *i.e.* locally facilitate the compaction 252 transition. However, not only do we propose that both ideas would be equivalent, but we also 253 estimate the magnitude of lateral bond destabilization and predict that it would be a transient and 254 reversible effect. Our estimate for the bond destabilization energy in the absence of any lateral 255 mismatch,  $\Delta\Delta G^{\text{assoc}} = -1.0 \pm 1.5 \text{ k}_{\text{B}}\text{T}$ , also agrees well with a recent computational study by the 256 Odde lab [43], where only a weak nucleotide dependence of the lateral bond stability was found, 257 though using a finite PF setup. 258

<sup>259</sup> We note that the association free energy differences in Fig. 4*a* (representing lateral transitions) <sup>260</sup> refer to a situation in which one long and straight PF fully associates with another. The considered <sup>261</sup> scheme differs from how PFs most likely associate/dissociate at the dynamic MT tip, namely, <sup>262</sup> dimer by dimer while bending away from the MT lumen. Hence,  $\Delta G_{\rm mis}^{\rm assoc}$  and  $\Delta G_{\rm eq}^{\rm assoc}$  describe as <sup>263</sup> per-dimer contributions to the lateral thermodynamic stability of MT lattices in regions distant <sup>264</sup> from the dynamic MT tip.

8/20



Figure 5. Lateral coupling induces long-range correlations between distant PFs. **a** and **b**, Side and top views of the simulation setup for the three-PF system mimicking a larger segment of the MT lattice. Color coding as in Fig. 2*a* and Fig. 3*a*. Water molecules are hidden for clarity. Individual PFs are labeled as (1), (2) and (3). **c** and **d**, Free energy energy landscapes of the system in **a** as a function of dimer rise and nucleotide state. The 3D landscapes were pairwise projected onto planes corresponding to 2D free energy landscapes of adjacent  $(\alpha^{(1)}\beta^{(1)} - \alpha^{(2)}\beta^{(2)})$ and  $\alpha^{(2)}\beta^{(2)} - \alpha^{(3)}\beta^{(3)}$ , left and right, respectively) and non-adjacent PFs  $(\alpha^{(1)}\beta^{(1)} - \alpha^{(3)}\beta^{(3)})$ , center). Orange and cyan circles indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs, respectively. Note the shift between the GTP and GDP distributions by ~0.2 nm along both reaction coordinates, consistent with the other simulations in Fig. 2 and Fig. 3.

# Nearest-neighbor interactions between PFs cause long-range correlations in the lattice

<sup>267</sup> The finding that lateral coupling leads to more confined and correlated dynamics of tubulin in

the double-PF system is explained by the nearest-neighbor interaction that prevents dimers in the

<sup>269</sup> adjacent PFs from adopting conflicting conformations by energetically penalizing local mismatches.

It is therefore clear that also the motions of dimers situated in distant PFs should be correlated as 270 a consequence of the elementary short-range interactions shown in Fig. 3b,c. However, it is unclear 271 to what extent the nucleotide state would affect such *long-range correlations*. To quantify their 272 magnitude and the dependence on the bound nucleotide in similar minimalist but computationally 273 feasible settings, we constructed a larger PF system comprising  $3 \times 1$  dimers per periodic box 274 dimension  $L_z$  (Fig. 5a,b), which allowed us to quantify the statistical correlation between a pair of 275 non-adjacent PFs. From the equilibrium dynamics of this three-PF system, similarly as above, we 276 estimated its free energy landscape as a function of dimer rise and nucleotide state and subsequently 277 disentangled nearest-neighbor interactions and long-range correlations. 278

As it was unfeasible to perform sufficiently accurate free energy calculations for such a large 279 system, we instead resorted to a Bayesian inference approach that integrates prior knowledge 280 about the energetics of the smaller subsystems (Fig. 2a and Fig. 3a) to infer the joint free 281 energy distribution of the three-PF system from unbiased MD simulations (see Supplementary 282 283 Material). To this end, six independent, 600-ns long equilibrium simulations of the three-PF system in each nucleotide state were performed, yielding a total of  $\sim 7.2 \ \mu s$  of sampling time. The 284 inferred three-dimensional (3D) joint free energy distributions were then pairwise projected onto 285 planes corresponding to two-dimensional (2D) free energy landscapes of adjacent and non-adjacent 286 double-PF subsystems (Fig. 5c,d). Consistent with the single-PF and double-PF systems analyzed 287 above (Fig. 2 and Fig. 3), the conformation of the three-PF system in our simulations was more 288 expanded than the underlying cryo-EM structures, while the nucleotide-dependent difference in 289 lattice compaction, again, was preserved. 290

The NMI values for the non-adjacent free energy landscapes were calculated (NMI<sub>13</sub>) and compared with those for the adjacent landscapes in the same system (NMI<sub>12</sub> and NMI<sub>23</sub>). If the non-adjacent PFs did not interfere, NMI<sub>13</sub> would be negligible relative to both NMI<sub>12</sub> and NMI<sub>23</sub>, yielding almost circular free energy landscapes in Fig. 5c,d (center). However, we found that NMI<sub>13</sub> is only by a factor ~0.5 and ~0.85 smaller than the values for the directly interacting PFs in GTPand GDP-state, respectively. This suggests that the correlations between non-adjacent PF induced by the nearest-neighbor PF interactions are enhanced upon GTP hydrolysis.

In fact, several recent findings provide intriguing evidence that weaker intra-lattice correlations 298 might stabilize the MT. First, some MT-stabilizing drugs such as Taxol have been recently shown 299 to increase the lattice heterogeneity of GDP-MTs as compared to drug-free GDP-MTs [44], which 300 resonates with the ability of Taxol to restore the bending flexibility of GDP-MTs [45, 46]. Second, 301 a very similar effect on MT stability and mechanical resilience has been reported for acetylated vs. 302 wild-type MTs [47, 48], likely due to a small but additive allosteric effect of  $\alpha$ -tubulin acetylation 303 at residue K40 [49]. In light of our drug- and acetylation-free simulation results, we propose that 304 GTP hydrolysis reduces tubulin axial flexibility and enhances short- and long-range correlations 305 between PFs, thereby leading to a loss of conformational entropy by the MT lattice. 306

## 307 Discussion

Tubulin dimers locked in the MT lattice operate as 'loadable springs' and 'conformational switches' whose load and conformation critically depend on both nucleotide state and lattice surrounding. The result is a metastable behavior of MTs because they have to reconcile the favorable dimer-to-lattice binding and the internal strain build-up that is fueled by GTP hydrolysis and has a destabilizing effect. Not surprisingly, it is hard to reach a consensus on precisely where this chemical energy is converted to mechanical strain and spread over the lattice because the system complexity allows various interpretations.

To clarify this issue, we have aimed at a quantitative understanding of the interplay between tubulin intrinsic strain and lateral binding inside straight MT-like compartments. Our results support the following conclusions: (i) there is a two-fold increase in longitudinal lattice tension upon nucleotide hydrolysis, which we attribute to the increased stiffness of GDP-PFs; (ii) lateral coupling between PFs reduces the conformational flexibility of tubulin by entropically penalizing PF conformations that are too different; (iii) restrictive interactions between neighboring PFs induce
long-range correlated motions of non-adjacent PFs; (iv) both short- and long-range cooperativity
of PF motions is stronger for GDP-PFs suggesting a loss of conformational entropy by MT lattices
upon GTP hydrolysis.

Our computational findings provide quantitative insights into tubulin mechanochemistry and, 324 therefore, the structural and energetic basis of MT dynamic instability. The results presented here 325 enable us to test the three major models of MT cap maturation and MT catastrophe: (i) the strain 326 model proposed by Nogales, Alushin, Zhang and colleagues [20–22], (ii) the bond model proposed 327 by the Moores lab [23,25], and (iii) the most recent 'no expansion' model by Estévez-Gallego et 328 al. [24]. Our results do not support the bond model because we do not find a statistically significant 329 effect of the nucleotide state on the lateral bond stability, which is key to the bond model. This 330 has also been confirmed by an earlier computational study from the Odde lab using a finite PF 331 setup [43]. Rather, our results are consistent with a weakening of longitudinal bonds upon GTP 332 333 hydrolysis, based on the stronger response of single GDP-PFs to mechanical stretching (Fig. 2c), which is indicative of more fragile longitudinal bonds between GDP dimers. Further, our results 334 do not support the most recent model by Estévez-Gallego et al. [24] postulating that both pre-335 and post-hydrolysis MT segments are equally compact, while the lattice undergoes a transient, 336 hydrolysis-energy-consuming expansion (approximated by the GMPCPP lattice) to release the 337  $\gamma$ -phosphate. Our combined evidence (Figs. 2-5) rather suggests: (a) whatever the GMPCPP state 338 corresponds to in the GTPase cycle of tubulin, it most likely precedes the GDP state; and (b) a 339 pure GDP lattice is higher in free energy than a pure GMPCPP one because the transition to the 340 GDP lattice can only be achieved by investing a per-dimer energy on the order of 11  $k_BT$ . Thus, 341 the conformational cycle proposed by Estévez-Gallego et al. would involve around twice the energy 342 available from GTP hydrolysis to first expand and then compact the lattice, which is energetically 343 implausible according to our estimates. 344

Overall, our results are currently most consistent with the strain model by Nogales, Alushin, 345 Zhang et al [20–22]. However, until the status of the GMPCPP lattice is entirely clear, the strain 346 model remains incomplete. This model does not describe the behavior of mixed nucleotide MT 347 lattices, which we have now predicted using long-time MD simulations and free energy calculations. 348 At present, the strain model cannot preclude the possibility of tubulin adopting unknown pre-349 hydrolysis conformations prior to that mimicked by GMPCPP. Although our simulations do not 350 show large rearrangements of the GMPCPP-tubulin structure upon replacement of GMPCPP 351 with GTP, we still have to assume that the GMPCPP-MT lattice is sufficiently similar to the 352 unknown pre-hydrolysis GTP-MT lattice. Whatever the precise conformational cycle, our results 353 agree best with the view of GMPCPP-tubulin being one of the cap-stabilizing, expanded, and 354 flexible conformations that are unlikely to be preceded by stiffer and compacted ones. Perhaps a 355 more promising approach toward ultimately resolving this issue in future structure determination 356 efforts would be to use knowledge-based point mutations that selectively uncouple the tubulin 357 conformational and GTPase cycles [50, 51]. 358

Taken together, a new picture emerges in which the MT lattice stability is not exclusively 359 determined by the nucleotide-dependent dynamics of individual dimers, but more generally, by their 360 non-additive collective behavior. In this work, we provide a thermodynamic explanation for the 361 intrinsic destabilization (distant from the dynamic tip) which precedes MT breakdown and which 362 relies on the idea that MT lattices gradually accumulate mechanical strain and lose conformational 363 entropy as GTP hydrolysis proceeds. In other words, the MT becomes thermodynamically less 364 and less stable already during the growing phase, which predisposes it to explosive strain release. 365 Exascale atomistic simulations ( $\gg 10^6$  atoms) and coarse-grained kinetic models can now be used 366 to extrapolate how the results of our study will apply to the time evolution of the MT plus-end tip 367 at much larger spatiotemporal scales. 368

By construction, our lattice simulations focus on straight 'infinite' PF systems with only one dimer layer per periodic box length. As a result, each dimer interacts with itself along the MT axis. Such setups are established and well-tested in the MD field, and the resulting artifacts are

well-characterised [31, 52–54]. For the periodic tubulin systems at hand, two types of artifacts 372 warrant attention. First, fluctuations with wavelengths larger than the box size are suppressed and. 373 therefore, fluctuations of the dimer rise may be smaller than in a simulation with a much larger 374 box size or in reality. Second, 'diagonal' correlations are not present in the double- and three-PF 375 systems, *i.e.* a dimer is unable to influence the conformations of other dimers in the neighboring 376 PFs located in the layer above or below that dimer. Another possible issue is the fact that our 377 simulations did not include a closed segment of the MT body with 14 PFs so that possible 'edge' 378 effects cannot be fully discounted. Contrary to the periodic boundaries, this simplification of the 379 MT geometry might lead to more relaxed fluctuations of the dimer rise for those PFs having only a 380 single neighbor. It is conceivable that, to some extent, the periodic boundaries mitigate the absence 381 a closed MT lattice due to error cancellation. 382

It is therefore justified to ask what the simulated PF models actually represent in a real MT 383 system and how strongly the chosen simulation setup affects the conclusions of our study. Two 384 385 observations are important here. First, our PF elasticity calculations provide estimates that are largely consistent with previous experimental knowledge, indicating that longitudinal PF mechanics 386 does not significantly depend on the choice of simulation protocol. Second, we primarily focus on 387 the effect of the nucleotide state and always compare the results of GTP and GDP simulations. It 388 is likely that, by considering relative changes, some of these artifacts cancel out and their effect on 389 the conclusions is smaller than it would be for absolute values. Therefore, the periodic and finite 390 size effects described above are unlikely to significantly affect the conclusions. Overall, we assume 391 that our PF models sufficiently accurately describe the dynamics of straight MT lattice regions 392 distant from the dynamic MT tip, with the important simplification that intra-lattice correlations 393 are restricted to the lateral dimension. 394

# 395 Methods

#### <sup>396</sup> Force-field parameters and protonation states

The CHARMM22\* force field [55] and the CHARMM-modified TIP3P water model [56] were used in all simulations. GTP/GDP parameters were adapted from those for ATP/ADP implemented in the CHARMM22/CMAP force field [56, 57]. Titration curves of histidines were calculated using the GMCT package [58] and assigned as described previously [17].

#### <sup>401</sup> Simulation system preparation and cryo-EM refinement

Initial models for the tubulin dimers were obtained from PDB IDs 3JAT (GMPCPP) and 3JAS 402 (GDP) [21] by extracting the central dimer from the  $3 \times 2$  lattice patches (chains A and H in 403 the original PDBs). GMPCPP was converted into GTP by replacing the carbon atom between 404  $\alpha$ - and  $\beta$ -phosphate with an oxygen atom. The missing loop in the  $\alpha$ -subunit (residues 38-46) 405 was modelled in for structure consistency using MODELLER version 9.17 [59] but excluded from 406 further refinement. Unlike in our previous study [17], we did not include the missing C-termini 407  $(\alpha:437-451 \text{ and } \beta:426-445)$  in our simulations to reduce the system size and reach the best possible 408 sampling. Unless differently specified, all structure and map manipulations were performed using 409 UCSF Chimera [60] or VMD [61]. 410

In all refinement simulations, the following data sets were used: EMD-6352 and EMD-6353 411 for symmetrized cryo-EM reconstructions of 14-PF GMPCPP- and GDP-MTs decorated with 412 kinesin [21]. To create 'infinite' single-, double-, and three-PF systems, where the actual simulated 413 part comprises exactly one layer of dimers and is coupled to copies of itself through axial periodic 414 boundaries, we first constructed finite PF systems comprising two layers of dimers in the axial 415 direction. To this end, subsections of the cryo-EM maps with the desired PF topology were extracted 416 using an orthorhombic box, and the single dimer models were rigid-body fitted into the PF maps. 417 The constructed PF systems were solvated in a triclinic water box of size  $8.0 \times 8.0 \times 22.0$  nm<sup>3</sup> 418

(single-PF),  $12.7 \times 12.7 \times 22.0 \text{ nm}^3$  (double-PF), or  $19.0 \times 19.0 \times 22.0 \text{ nm}^3$  (three-PF). The systems were then neutralized with 150 mM KCl.

Refinement was done with correlation-driven molecular dynamics implemented as a custom 421 module in the GROMACS 5.0.7 package [62], following our previously published protocols [30]. 422 Briefly, we used the cold-fitting protocol with the longest refinement time (*i.e.* T = 100 K and total 423 run time of 50 ns) followed by 15 ns of simulated annealing. The starting values for the biasing 424 strength and the simulated map resolution were set to  $1 \times 10^5$  kJ mol<sup>-1</sup> and 0.6 nm and linearly 425 ramped to  $5 \times 10^5$  kJ mol<sup>-1</sup> and 0.2 nm, respectively. The quality of the resulting models and 426 the goodness of fit were ensured by calculating common stereochemical and correlation metrics 427 (Supplementary Table 1). 428

#### 429 MD simulations

The finite PF models were converted into 'infinite' PF models by removing the extra tubulin 430 monomers and nucleotides. Water and ion atoms were then trimmed to conform to the experimental 431 value of the axial periodic dimension  $L_z$ , namely, 8.31 nm for GMPCPP-MTs and 8.15 nm for 432 GDP-MTs [21]. The number of ions in the trimmed water shell was fixed such as to keep the systems 433 neutral and to maintain the ionic strength of 150 mM KCl. All subsequent MD simulations were 434 carried out with GROMACS 5.0.7 [62]. Lennard-Jones and short-range electrostatic interactions 435 were calculated with a 0.95-nm cutoff, while long-range electrostatic interactions were treated 436 using particle-mesh Ewald summation [63] with a 0.12-nm grid spacing. The bond lengths were 437 constrained using the LINCS algorithm [64] (hydrogen bonds during equilibration and all bonds in 438 the production runs). Velocity rescaling [65] with a heat bath coupling constant of 0.5 ps was used 439 to control the temperature for solute and solvent separately. Applying virtual site constraints [66] 440 allowed us to increase the integration step size to 4 fs in the production runs. Center-of-mass 441 correction was applied to solute and solvent separately every 100 steps. 442

With the above parameters fixed, the equilibration protocol consisted of the following steps: (i) 443 energy minimization using steepest descent; (ii) short NVT equilibration for 1 ns at T = 100 K with 444 position restraints on heavy atoms and using a 1-fs integration time step; (iii) gradually heating 445 up the system to 300 K within 10 ns in the NPT ensemble (Berendsen barostat [67] with a 5-ps 446 coupling constant) using a 2-fs integration time step; (iv) equilibration in the NPT ensemble for 447 30 ns using isotropic Parrinello-Rahman barostat [68] with a 5-ps coupling constant and using a 448 2-fs integration time step; (v) equilibration in the NPT ensemble for 100 ns using semi-isotropic 449 Parrinello-Rahman barostat with a 5-ps coupling constant and using a 2-fs time step. The last 450 frame of step (v) was used to spawn stress-free production runs, stress-strain calculations, and 451 umbrella sampling simulations. 452

#### 453 Derivation of the reaction coordinate

We carried out 20 independent,  $1-\mu$ s long equilibrium simulations of the single-PF system in GTP-state, where the starting structure for each simulation was drawn every 150 ns from a 'seeding' simulation trajectory of 3  $\mu$ s. For the single-PF system in GDP-state, we carried out 10 independent simulations (1  $\mu$ s each) with the starting configurations drawn every 300 ns from a 3- $\mu$ s 'seeding' trajectory. We then extracted backbone atoms (N,  $C_{\alpha}$ , C and O) and excluded flexible protein regions ( $\alpha$ : 38-46,  $\alpha$ : 278-284 and  $\beta$ : 276-284) from further analysis.

Partial least-squares (PLS) functional mode analysis [32,33] was then applied to the combined 460 simulation set (both GTP- and GDP-state) to derive the collective mode of motion that correlated 461 best with the fluctuations of the axial periodic dimension  $L_z$  and had the largest variance in 462 terms of molecular motion. The linear regression model was trained on the first half of the GTP 463 data set (~13  $\mu$ s) and the second half of the GDP data set (~7  $\mu$ s), and the remaining halves 464 were used for cross-validation. The cross-validation revealed that the ensemble-weighted collective 465 mode (corresponds to the solution with one PLS component by construction) had correlation 466 coefficients of 0.9 (training set) and 0.85 (validation set), hence yielding a robust representation of 467

the conformational transition between the expanded GTP- and compacted GDP-state (Fig. 2b). A

visualization of this transition is shown in Supplementary Movie 1.

#### 470 Normalized mutual information and confinement entropy

471 In theory, the mutual information (MI) between two stochastic quantities  $\chi_1$  and  $\chi_2$  is

$$I(\chi_1, \chi_2) = H(\chi_1) + H(\chi_2) - H(\chi_1, \chi_2),$$
(1)

where  $H(\chi_i) = -\int p_i(\chi_i) \log p(\chi_i) d\chi_i$  is the entropy and  $p_i(\chi_i)$  is the probability density of  $\chi_i$ (*i* = 1, 2). The joint entropy  $H(\chi_1, \chi_2)$  is defined similarly and requires knowledge of the joint probability density  $p_{12}(\chi_1, \chi_2)$ .

In practice, calculation of the MI is very sensitive to how the underlying probability densities 475 are discretized. Too coarse-grained discretization leads to an underestimation and too detailed 476 discretization leads to an overestimation of the MI. We therefore used the Jack Knifed estimate 477 that is known to be a low bias estimate of the MI and robust to discretization bin size [69]. It is 478 defined by substituting the entropy in Eq. 1 with the following estimate  $H_{JK}(\chi_i) = NH(\chi_i) -$ 479  $\frac{N-1}{N}\sum_{i=1}^{N}\hat{H}_{-i}(\chi_i)$ , where  $\hat{H}(\chi_i)$  is the entropy calculated by a straightforward discretization 480 and  $\hat{H}_{-j}(\chi_i)$  is the same as  $\hat{H}(\chi_i)$  but when leaving out bin value j, and N is the total number 481 of bins. The confinement entropy used to estimate the conformational space 'volume' is then 482  $H_{\rm conf} \equiv \hat{H}_{JK}(\chi_1, \chi_2)$ , whereas the normalized mutual information is defined as: 483

$$NMI_{12} = \frac{\hat{H}_{JK}(\chi_1) + \hat{H}_{JK}(\chi_2) - \hat{H}_{JK}(\chi_1, \chi_2)}{\sqrt{\hat{H}_{JK}(\chi_1)\hat{H}_{JK}(\chi_2)}}.$$
(2)

484

#### 485 Stress-strain simulations of isolated PFs

<sup>486</sup> To measure the response of the single-PF systems to external axial strain, we let the prepared <sup>487</sup> systems equilibrate under anisotropic pressure conditions  $P_{xx} = P_{yy} \neq P_{zz}$  until convergence of  $L_z$ , <sup>488</sup> where  $P_{zz}$  ranged from -65 atm to +200 atm. All equilibration simulations were run for at least <sup>489</sup> 1  $\mu$ s, and the last 200 ns were used for further analysis. Due to the pressure difference maintained <sup>490</sup> by the barostat, the simulated system (both solute and solvent) was subjected to an axial force  $f_z$ <sup>491</sup> such that the net stress on the PF along the z-axis,  $\sigma_{zz}$ , is:

$$\sigma_{\rm zz} = -\frac{f_{\rm z}}{A_{\rm z}} = -\frac{(P_{\rm zz} - P_{\perp})L_{\rm x}L_{\rm y}}{A_{\rm z}},\tag{3}$$

where  $P_{\perp} = (P_{\rm xx} + P_{\rm yy})/2$ ,  $L_{\rm x}$  and  $L_{\rm y}$  are the lateral dimensions of the simulation box, and  $A_{\rm z}$  is the PF cross-section area (see next section). The axial strain was computed as:

$$\varepsilon_{\rm zz} = \frac{L_{\rm z} - L_{\rm z,eq}}{L_{\rm z,eq}},\tag{4}$$

where  $L_{z,eq}$  is the mean axial periodic dimension. We also note that  $P_{zz}$ ,  $P_{\perp}$ , and  $L_{x,y,z}$  are, generally speaking, stochastic quantities. Therefore, block averaging with five blocks per trajectory and basic error propagation rules were used to estimate the mean and standard deviation of  $\varepsilon_{zz}$ and  $\sigma_{zz}$ .

498

#### <sup>499</sup> Calculation of per-dimer elastic strain energy and flexural rigidity

According to linear elasticity theory, the per-dimer energy stored in a GTP-PF subjected to an axial elastic deformation by work required to compress it to the equilibrium state of a GDP-PF

is  $\Delta G_{\rm el} = \Delta g_{\rm el} V$ , where  $\Delta g_{\rm el}$  is the elastic energy density and V is the effective dimer volume. 502 Using the generalized Hooke's law, we estimated the elastic energy density as  $\Delta g_{\rm el} = \frac{1}{2} E_{\rm GTP} \varepsilon_{\rm zz}^2$ in which  $E_{\rm GTP} \approx 0.89$  GPa (see Fig. 2 in the main text) and  $\varepsilon_{\rm zz} = (L_{z,eq}^{\rm GTP} - L_{z,eq}^{\rm GDP})/L_{z,eq}^{\rm GDP} \approx 0.03$ 503 504 is the axial strain tensor component reflecting the difference in the equilibrium dimer lengths of 505 GTP- and GDP-PFs (derived from stress-free simulations). We then calculated the effective dimer 506 volume by requiring that the PF cross-section area  $A_z$  matches the mass per PF unit length m, i.e.507  $m = \rho A_z L_{z,eq}$ , where  $m \approx 100$  kDa and  $\rho \approx 1.41$  g/cm<sup>3</sup> is the mass density of globular proteins 508 with molecular weights M > 30 kDa [70]. This yielded  $A_z \approx 14.2$  nm<sup>2</sup>, which allowed us to directly 509 compute the sought elastic strain energy  $\Delta G_{\rm el} \approx 28.9 \text{ kJ/mol} \approx 11.6 \text{ k}_{\rm B} \text{T}$  at T = 300 K. 510

Following previous work [26], the flexural rigidity (or bending stiffness) of a long hollow cylindrical 511 filament is a product of its axial elasticity modulus E and the second moment of the cross-sectional 512 area  $I = \frac{\pi}{4}(R_{\text{out}}^4 - R_{\text{in}}^4)$ , where  $R_{\text{in}}$  and  $R_{\text{out}}$  are the inner and outer radii of the cylinder, respectively. 513 Using the estimate  $R_{\rm in} \approx 10.19$  nm from [71] (for 14.3 type MTs) and requiring that the MT 514 cross-section conforms with the mass per MT unit length, *i.e.*  $14 \times m = \rho \pi (R_{\text{out}}^2 - R_{\text{in}}^2) L_{z,eq}$ , we 515 obtained an estimate for the outer radius  $R_{\rm out} \approx 12.95$  nm and, hence, for the second moment 516  $I \approx 1.31 \times 10^{-32}$  m<sup>4</sup>. This value allowed us to directly compute the flexural rigidities of GTP- and 517 GDP-MTs (see Fig. 2 in the main text). 518

#### <sup>519</sup> Estimating MT bending stiffness from previous experimental data

The experimental values for MT bending stiffnesses and the respective uncertainties shown in Fig. 2*d* were calculated using inverse-variance weighting [72]. Given a set of independent measurements  $y_i$  with variances  $\sigma_i^2$ , the consensus inverse-variance mean and standard deviation are given by  $\hat{y} = \sum_i w_i y_i / \sum_i w_i$  and  $\hat{\sigma} = \sqrt{1/\sum_i w_i}$ , where the weights  $w_i = 1/\sigma_i^2$ . For GDP-MTs and GDP-MTs stabilized with Taxol, we used the  $E \times I$  values estimated by quantifying thermal fluctuations of MTs, as summarized in [28] and [29]. As there were only few measurements of GMPCPP-MTs in the cited publications, we extended the set by considering further thermal fluctuation studies [45, 46, 73].

#### 528 Code and supplementary data

All refined starting structures are provided as Supplementary Data Set. Complete MD trajectories that support the findings are available from the corresponding authors upon request. Unless explicitly specified, all numerical calculations were carried out using Python 2.7 [74] and Cython [75].

#### <sup>532</sup> Supplementary Movie and Data Set captions

Supp. Material: (*supp\_material.pdf*) Supplementary text that includes all supplementary figures and tables as well as detailed information on stress-strain calculations, estimation of the per-dimer elastic strain energy, umbrella sampling simulations, estimation of the relative lateral bond stability, and Bayesian inference of the joint free energy distribution for the three-PF system.

Supp. Movie 1: (*supp\_movie\_1.mov*) Animation showing the compaction transition derived from
 equilibrium simulations of the single-PF system in both GTP- and GDP-state (see Fig. 2).

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Supp. Data Set: (*refined\_models.zip*) Archive containing refined single-, double- and three-PF
 structures in both GTP- and GDP-state.

543

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