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# **SESCA: Predicting the Circular Dichroism Spectra of Proteins from Molecular Structure**

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## 50 **Abstract**

51 Circular dichroism spectroscopy is a highly sensitive, but low-resolution technique to study  
52 the structure of proteins. Combined with molecular modelling and other complementary  
53 techniques, CD spectroscopy can also provide essential information at higher resolution. To  
54 this aim, we introduce a new computational method to calculate the electronic circular  
55 dichroism spectra of proteins from a three dimensional-model structure or structural  
56 ensemble. The method determines the CD spectrum from the average secondary structure  
57 composition of the protein using a pre-calculated set of basis spectra. We derived several  
58 basis spectrum sets obtained from the experimental CD spectra and secondary structure  
59 information of 71 reference proteins and tested the prediction accuracy of these basis  
60 spectrum sets through cross-validation. Furthermore, we investigated how prediction  
61 accuracy is affected by contributions from amino acid side chain groups and protein  
62 flexibility, potential experimental errors of the reference protein spectra, as well as the choice  
63 of the secondary structure classification algorithm and the number of basis spectra. We  
64 compared the predictive power of our method to previous spectrum prediction algorithms –  
65 such as DichroCalc and PDB2CD – and found that SESCA predicts the CD spectra with up  
66 to 50% smaller deviation. Our results indicate that SESCA basis sets are robust to  
67 experimental error in the reference spectra, and the choice of the secondary structure  
68 classification algorithm. For over 80% of the globular reference proteins, SESCA basis sets  
69 could accurately predict the experimental spectrum solely from their secondary structure  
70 composition. To improve SESCA predictions for the remaining proteins, we applied  
71 corrections to account for intensity normalization, contributions from the amino side chains,  
72 and conformational flexibility. For globular proteins only intensity scaling improved the  
73 prediction accuracy significantly, but our models indicate that side chain contributions and

74 structural flexibility are pivotal for the prediction of shorter peptides and intrinsically  
75 disordered proteins.

## 76 **Author summary**

77 Proteins are biomolecules that perform almost all of active task in living organisms, and how  
78 they perform these task is defined by their structure. By understanding the structure of  
79 proteins, we can alter and regulate their biological functions, which may lead to many  
80 medical, scientific, and technological advancements. Here we present SESCA, a new method  
81 that allows the assessment, and refinement of protein model structures. SESCA predicts the  
82 expected circular dichroism spectrum of a proposed protein model and compares it to an  
83 experimentally determined CD spectrum, to determine the model quality. CD spectroscopy is  
84 an experimental technique that is very sensitive to the secondary structure of the protein, and  
85 widely used as a quality control in protein chemistry.

86 We demonstrate that our method can accurately and robustly predict the spectrum of  
87 globular proteins from their secondary structure, which is necessary for a rigorous model  
88 assessment. The SESCA scheme can also address protein flexibility and contributions from  
89 amino acid side chains, which further enhance the accuracy of the method. In addition, this  
90 allows SESCA predictions to target disordered proteins. For these proteins, flexibility is part  
91 of their function, but it also renders their structural characterization much more challenging.

## 92 **Introduction**

93 Electronic circular dichroism (CD) spectroscopy is a widely applied optical method to study  
94 the structure and structural changes of biomolecules such as proteins, nucleic acids, and  
95 carbohydrates [1]. CD spectroscopy is a very sensitive tool, often used as a quality control of  
96 recombinant proteins or to monitor changes of the protein structure during folding,  
97 aggregation, and binding events. Because of this sensitivity, CD spectroscopy does not

98 require large amounts of protein or special labelling and can be readily used in aqueous  
99 solutions. These qualities also render CD spectroscopy a good tool for verifying proposed  
100 structural and mechanistic models for proteins, provided that a direct, quantitative  
101 comparison is possible between the models and the observed spectra.

102         The CD spectra of proteins in the far ultraviolet (UV) range (180-250 nm) depend  
103 strongly on the backbone conformation, and therefore, on their secondary structure [2–5].  
104 The main contributor to a protein’s CD spectrum is the electronic excitation of the partially  
105 delocalized peptide bonds, which form the backbone of the polypeptide chain. Isolated amino  
106 acids, except glycine, also show a CD signal in this wavelength range [6–8].-Therefore,  
107 amino acid side chains contribute to the protein CD spectrum as well, although this  
108 contribution is typically smaller than that of the protein backbone. Since the 1980’s, several  
109 methods have been proposed to quantitatively connect the secondary structure composition of  
110 a protein and its CD spectrum. CD spectra were collected and compiled into data banks and  
111 reference data sets [9,10] to improve and assess the quality of predictions. Two major  
112 categories of methods - spectrum deconvolution and spectrum prediction - were established  
113 to provide quantitative predictions related to CD spectra. Spectrum deconvolution methods  
114 aim at predicting the secondary structure of a protein from its CD spectrum. Spectrum  
115 prediction methods, *vice versa*, determine the CD spectrum from the structure, often by  
116 quantum mechanics (QM) calculations, or QM-derived parameters (*ab initio* methods).

117         Deconvolution of CD spectra is a very convenient method of gaining structural  
118 information on proteins as it requires no special labelling or crystallization, and several  
119 different approaches (e.g. CCA, K2D3, BestSel) have been developed and implemented for it  
120 [11–13]. The measured CD spectrum is decomposed into a linear combination of basis  
121 spectrum components (basis spectra). The basis spectra usually reflect the CD signal of  
122 secondary structure elements, and are derived either from the CD spectra of model peptides

123 or from a larger set of reference proteins with known CD spectra and secondary structure  
124 composition. Once derived, they are used to estimate the secondary structure composition of  
125 proteins with unknown structure by fitting a linear combination of basis spectra to the  
126 measured CD spectrum. The main drawback of this approach is the fitting procedure which is  
127 sensitive to experimental error of measured the CD spectrum. In the absence of additional  
128 information, different secondary structure estimates may provide fits of similar quality, which  
129 renders the comparison to model structures difficult.

130 *Ab initio* spectrum prediction methods typically require advanced time-dependent QM  
131 or density functional methods [14–16]. The large computational effort limits such  
132 calculations to rather small peptides, especially because the CD signal is sensitive to the  
133 conformation of the molecule as well as the structure and fluctuations of several solvent  
134 shells. A simplified algorithm based on *ab initio* calculations, called the matrix method [17],  
135 was implemented in the program DichroCalc [18]. DichroCalc can determine the most  
136 important features of the CD spectrum of a protein based on its conformation, albeit with  
137 limited accuracy. Recently, a new empirical spectrum prediction algorithm named PDB2CD  
138 [19] was proposed which combines secondary and tertiary structure information obtained  
139 from a three-dimensional structure of the protein to predict its CD spectrum. PDB2CD is  
140 based on a representative set of globular proteins, where the predicted CD spectrum is  
141 calculated as the weighted average of spectra from structurally similar proteins. By  
142 combining structural and spectral information, this web-based empirical implementation  
143 achieved significantly improved accuracy.

144 Generalizing this approach here, we developed and cross-validated a semi-empirical  
145 method to predict the CD spectra of proteins from their three dimensional structures using  
146 empirically derived basis spectra. Our approach combines the structural and spectral  
147 information of a reference protein set to systematically derive structure-related basis spectra.

148 The basis spectra are then used to predict the CD spectra of proteins based on their three  
149 dimensional structure, or to determine how well proposed structural models agree with the  
150 measured spectrum. This Semi-Empirical Spectrum Calculation Approach (SESCA) is  
151 computationally efficient and allows accurate prediction of protein CD spectra both from a  
152 single protein structure as well as from a set or an ensemble of structures to account for  
153 structural flexibility. We compare the main steps of the SESCOA scheme, spectrum  
154 deconvolution, and ab initio spectrum prediction methods in Fig. 1.

155 In this study, our approach will be evaluated and optimized using multiple, freely  
156 available structure classification algorithms. In addition, we will address the effects of  
157 structural flexibility as well as the contribution of amino acid side chains in the far UV  
158 region. SESCOA eliminates the uncertainty of deconvolution based reconstructions, predicts  
159 the experimental CD spectra of globular proteins more accurately than DichroCalc, and  
160 matches the accuracy of PDB2CD. In addition, the increased calculation efficiency gained  
161 from using pre-calculated basis spectra renders SESCOA more suitable for calculating the CD  
162 spectra from structural ensembles. This advantage is particularly important for the ensemble  
163 refinement of disordered proteins where model verification by comparison to experimental  
164 observables is crucial.

## 165 **Theoretical background**

### 166 **2.1 Semi-empirical spectrum calculations**

167 Here, we describe our semi-empirical CD prediction method (Fig. 2), and summarize our  
168 optimization and cross-validation procedure (Fig. 3). We will initially assume that the CD  
169 spectra are mainly determined by the local conformation of the peptide bonds, and  
170 subsequently also consider the effects of the amino acid side chain groups. In each case, the  
171 local backbone conformation will first be grouped into secondary structure elements with  
172 established methods (Fig. 2A) and secondly, these secondary structure elements will be

173 combined into broader classes (Fig. 2B) for which basis spectra are determined (Fig. 2C).  
174 The CD spectra of proteins will be calculated from weighted averages of the basis spectra  
175 (Fig. 2D), each reflecting the CD signal of one of the secondary structure classes averaged  
176 over all other conformational degrees of freedom, such as solvent shell arrangements, side-  
177 chain conformers, and local conformational variations of the protein backbone.

178 We will derive and assess several basis spectrum sets – henceforth referred to as  
179 “basis sets” – according to the scheme shown in Fig. 3. The secondary structure elements  
180 from five different available secondary structure classification methods will be combined into  
181 classes in two different ways (“hard” and “soft” optimization). The optimal basis spectra  
182  $B_i(\lambda)$  will be derived for each secondary structure class  $i$ , such that the reference CD spectra  
183  $S_j(\lambda)$  measured for  $N$  globular proteins of a reference set are approximated by a weighted  
184 sum of  $F$  basis spectra

185

$$186 \quad S_j(\lambda) = \sum_{i=1}^F C_{ji} B_i(\lambda) \quad (1)$$

187

188 as accurately, as possible measured by the “fitting” accuracy. The fitting accuracy is  
189 quantified by the average root-mean-squared deviation (RMSD) between the calculated and  
190 experimental reference spectra. For each obtained optimal basis set, cross-validation against  
191 measured CD spectra that have not been used for the optimization will be carried out to  
192 determine its prediction accuracy.

193 To calculate the coefficients for the basis spectra  $C_{ji}$  we utilize  $W_{jk}$ , the fraction of  
194 residues classified as secondary structure element  $k$  in a structural model of protein  $j$ .  
195 Grouping secondary structure elements into secondary structure classes  $i$  is achieved via an  
196 assignment matrix  $\mathbf{A}=\{\alpha_{ki}\}$ , combining the  $K$  secondary structure elements into  $F$  structural  
197 classes, such that

198

$$199 \quad C_{ji} = \sum_{k=1}^K W_{jk} \alpha_{ki} . \quad (2)$$

200

201 This assignment is also subject to optimization, and the constraints on the assignment matrix  
202 separate the hard and soft optimization approaches. In the more conventional hard approach,  
203 each secondary structure element is assigned to exactly one structural class (and, therefore  
204 basis spectrum), indicated by entries “0” and “1” in the assignment matrix (e.g. Fig. 2C). In  
205 the more general soft approach, the secondary structure elements are assigned to multiple  
206 structural classes and the assignment factors  $\alpha_{ki}$  can be any real number.

207 Combining the above two equations relates the CD spectrum of a protein to its  
208 secondary structure composition

209

$$210 \quad S_j(\lambda) = \sum_{k=1}^K \sum_{i=1}^F W_{jk} \alpha_{ki} B_i(\lambda), \quad (3)$$

211

212 such that for  $N$  reference proteins  $j$  with known CD spectra  $S_j^{\text{exp}}(\lambda)$ , secondary structure  
213 composition  $W_{jk}$ , and a given assignment  $\alpha_{ki}$ , the optimal basis spectra  $B_i(\lambda)$  are readily  
214 calculated from minimizing  $RMSD_{\text{set}}$ , the root-mean-squared deviation between the  
215 measured spectra and those calculated from the secondary structure  $S_j^{\text{calc}}(\lambda)$ ,

216

$$217 \quad RMSD_{\text{set}} = \frac{1}{N} \sum_{j=1}^N \sqrt{\int_{\lambda_{\min}}^{\lambda_{\max}} [S_j^{\text{calc}}(\lambda) - S_j^{\text{exp}}(\lambda)]^2 d\lambda} . \quad (4)$$

218

219 We note that in spectrum deconvolution methods [11,12,20] basis spectra are derived  
220 via the same notion, albeit applied in reverse direction. Whereas in deconvolution methods,  
221 the basis spectrum coefficients are treated as fit parameters which yield the secondary

222 structure content (as shown in Fig.1A), in our approach the secondary structure fractions are  
223 extracted from the known structure and combined into the basis spectrum coefficients. By  
224 calculating the spectrum from the structure, our method avoids the (numerically often  
225 unstable) fitting procedure, and rather proceeds by direct comparison to the CD spectrum as  
226 the primary experimental observable (as depicted in Fig. 1B). In this respect it resembles *ab*  
227 *initio* methods (shown in Fig. 1C).

228 We also note that the level of coarse graining of secondary structure information is  
229 given by the assignment matrix  $\alpha_{ik}$ . Extreme cases are (a) combining all secondary structure  
230 elements provided by the particular secondary structure classification method in use into  $F=1$   
231 class, and (b) into  $F=K$  classes. In case (a), only very little (likely too little) information is  
232 retained – typically the  $\alpha$ -helical content – whereas in the “naive” case (b), the full secondary  
233 structure information is provided with the possible risk of over-fitting. Therefore, subsequent  
234 cross validation is crucial for determining the optimal level of coarse graining.

235 Finally, we note that the hard combination of secondary structure elements is a special  
236 case of the more general soft combination approach and therefore, one might expect the latter  
237 to yield more accurate calculated spectra for the reference proteins from the same amount of  
238 structural information. Because in the soft optimization approach the assignment factors  $\alpha_{ki}$   
239 can adopt any real number without further constraints, eq. 2 yields linear combinations of the  
240 secondary structure fractions  $W_{kj}$ . Hence, each basis spectrum  $B_i(\lambda)$  can be understood as a  
241 “collective” secondary structure class, such as “0.3  $\alpha$ -helical + 0.7  $\beta$ -sheet”. Of course, the  
242 collective secondary structure classes introduce another layer of complexity to the  
243 optimization problem, and therefore increase the chances of over-fitting the basis spectra.

## 244 **2.2 Basis spectrum optimization: “Hard approach”**

245 For the hard basis set optimization approach (Fig. 3A), our aim was to find basis spectrum  
246 sets that provide the most accurate prediction of protein CD spectra. To trade-off the fitting

247 accuracy for reduced over-fitting, we applied a Monte Carlo (MC) approach with a cross-  
248 validation, during the search for assignments and the number of basis spectra. To this aim, the  
249 reference protein reference set was divided into two sub-sets. The larger sub-set (training set)  
250 was used to derive the basis spectra, and the basis set accuracy was evaluated by the average  
251 RMSD of the calculated CD spectra of the smaller sub-set (evaluation set) according to eq. 4.  
252 During each optimization cycle, random changes were applied to the assignment matrix, the  
253 corresponding basis spectra for the given assignment were calculated (described in Section  
254 2.3), and the new assignment was accepted or rejected the change based on its effect on the  
255 obtained basis set accuracy of the evaluation set ( $\text{RMSD}_{\text{eval}}$ ). At the end of the optimization,  
256 the five assignments with the lowest  $\text{RMSD}_{\text{eval}}$  and the complete reference set were used to fit  
257 basis spectra and obtain the final optimized basis sets. These basis sets were subsequently  
258 assessed by cross-validation (Fig. 3C) on a protein set not used in the optimization procedure  
259 (cross-validation set) to estimate their prediction accuracy ( $\text{RMSD}_{\text{cross}}$ ), and by calculating  
260 their fitting accuracy ( $\text{RMSD}_{\text{ref}}$ ) on the reference set (Fig. 3D).

261 We imposed two constraints on the assignment factors of the hard basis sets: 1)  
262  $\sum_{k=1}^K \alpha_{ki} = 1$ , and 2)  $\alpha_{ki} \in \{1,0\}$ . These constraints ensured that the resulting basis spectra  
263 are normalized, and that there are no overlaps between the structural classes the basis spectra  
264 represent, significantly reducing the search space of the MC algorithm. Initially, the hard  
265 optimization procedures were started from a naïve assignment ( $F=K$ ) for each classification  
266 method, in which case  $\mathbf{A}$  is the identity matrix ( $\alpha_{ki}$  is 1 if  $i=j$  and 0 otherwise). However, the  
267 basis sets resulting from the first optimization were used as initial guesses for subsequent  
268 optimization rounds until convergence was reached both for the number of basis spectra and  
269  $\text{RMSD}_{\text{eval}}$ .

## 270 **2.3 Calculation of basis spectra**

271 For a given assignment matrix  $\mathbf{A}$ , coefficients of the basis spectra  $C_{ji}$  are readily calculated  
272 via eq. 2 from the fraction of secondary structure elements  $W_{jk}$ . The basis spectra  $B_i(\lambda)$  are  
273 derived using eq. 1 independently for each available wavelength  $\lambda$  from a sufficiently large  
274 training set of protein structures and their CD-spectra  $S_j(\lambda)$ . Because typically the number of  
275 basis spectra  $F$  is smaller than the number of available training spectra  $N$  (here,  $F=1\dots 20$  and  
276  $N=64$ ), eq. 1 represents an over-determined linear equation system. The basis spectra that  
277 minimize the average RMSD between calculated and experimental CD spectra according to  
278 eq. 4, where  $S_j^{\text{calc}}(\lambda) = \sum_{i=1}^F C_{ji} B_i(\lambda)$ , are obtained via

279

$$280 \quad \mathbf{b}(\lambda) = (\mathbf{C}^T \mathbf{C})^{-1} \mathbf{C}^T \mathbf{s}(\lambda). \quad (5)$$

281

282 We have used matrix notation for the coefficients  $\mathbf{C} = \{C_{ij}\}$  and the vector notation for the  
283 basis spectra  $\mathbf{b}(\lambda) = \{B_i(\lambda)\}$ , and CD spectra  $\mathbf{s}(\lambda) = \{S_j(\lambda)\}$ , respectively. Figures 2 and S1-S14  
284 show basis spectrum sets that were derived by determining the basis set coefficients for  
285 different assignment and applying eq. 5 on the far UV (175-269 nm) wavelength range  
286 sampled in 1 nm steps, for all 64 proteins in the TR64 set (see section 3.1).

287

## 288 **2.4 Assignment optimization details**

289 In this section, we describe how the changes in the secondary structure element assignment  
290 were evaluated during the MC search. During each hard optimization step, a random change  
291 was introduced to the assignment matrix  $\mathbf{A}$ , by reassigning one of the secondary structure  
292 elements to another structural class. Then, the basis spectra  $B_i(\lambda)$  were recalculated and the  
293 average deviation ( $\text{RMSD}_{\text{eval}}$ ) from the experimental CD spectra was computed for the  
294 evaluation set both before and after the change was applied. If  $e^{-\beta * (\Delta \text{RMSD}_{\text{eval}})}$  was larger

295 than a randomly generated number between 0 and 1, the new assignment was accepted,  
296 otherwise rejected. In the next optimization step, a new random change was applied to the  
297 last accepted assignment. The acceptance ratio in this notation was controlled by  $\beta$ , the  
298 strictness parameter determining how often changes with an unfavourable  $\Delta\text{RMSD}_{\text{eval}}$  are  
299 accepted. By default,  $\beta = 8.0$  was applied to optimizations, which was lowered (down to 1.0)  
300 if the acceptance rate in an optimization dropped below 20%. Accepted assignments with the  
301 lowest five  $\text{RMSD}_{\text{eval}}$  during the MC search were saved and used to calculate the basis  
302 spectra of optimized basis sets.

303         The search space for the hard optimization contains  $F^K$  possible  $\mathbf{A}$  matrices, where  $F$   
304 is the number of structural classes/basis spectra and  $K$  is the number of the secondary  
305 structure elements. For example, assigning five structural elements to three classes defines a  
306 search space of  $3^5 = 243$  assignments, whilst 19 structural elements assigned to 10 classes  
307 result in a search space of  $10^{19}$ . When optimizing small basis sets with 5-8 secondary  
308 structure elements, a single optimization process with 500 accepted moves was sufficient to  
309 completely explore the search space, often visiting the global optimum of the assignment  
310 space multiple times. In the case of more than 10 structural elements, several 10000-step  
311 optimizations were started from multiple initial assignments described in Section 3.3. In these  
312 cases, assignments resulting from the initial optimization procedure were used to start new  
313 parallel processes to more effectively explore the search space. To further increase the  
314 efficiency of the hard optimization, important secondary structure elements – such as the  $\alpha$ -  
315 helix and at least one of  $\beta$ -strand elements – were assigned to different classes and then  
316 excluded from being reassigned (effectively decreasing  $K$ ). In addition, if the move resulted  
317 in a more favourable  $\text{RMSD}_{\text{eval}}$ , both structural classes with no assigned secondary structure  
318 elements and the secondary structure elements themselves could be temporarily eliminated  
319 from the basis set. Eliminated classes and secondary structure elements could be reintroduced

320 to the basis set through random changes during the same optimization process, and missing  
321 secondary structure elements were reintroduced between subsequent optimization processes  
322 to conserve the normalization of basis spectra. We have performed several optimization  
323 processes for each secondary structure classification method, until the number of basis  
324 spectra in the best optimized basis sets stabilized, and  $\text{RMSD}_{\text{ref}}$  values similar to the soft  
325 basis sets of the same basis set size were reached (described below).

## 326 **2.5 Basis set determination: The “soft approach”**

327 The hard optimization scheme introduced in Sections 2.2-2.4 is limited to a restricted  
328 assignment factor space ( $\alpha_{ki} \in \{0,1\}$ ) and, therefore, it should be possible to further improve  
329 the accuracy of reconstructing the CD spectra from the secondary structure information by  
330 removing this limitation. Accordingly, in our more general soft optimization approach, the  
331 assignment factors can be any real number ( $\alpha_{ki} \in R$ ). During the soft optimization, we  
332 simultaneously derived the basis spectra and assignment factors that most accurately  
333 reproduced the CD spectra of the reference protein data set (best fitting accuracy).  
334 Consequently, besides the spectral and structural information of the reference data set, only  
335 the desired number of basis spectra is specified for the soft optimization, and no “internal”  
336 cross-validation is required to trade-off the accuracy of the fit for an improved general  
337 predictive power. To obtain the optimal basis sets, the non-linear equation system defined by  
338 eqs. 3 and 4 has to be solved simultaneously for all wavelengths of each protein spectrum in  
339 the reference data set. In matrix notation, this optimization problem reads as

340

$$341 \quad \left\| \mathbf{W} \mathbf{A} \mathbf{B} - \mathbf{S} \right\|_F^2 \stackrel{!}{=} \min, \quad (6)$$

342

343 where  $\mathbf{S}=(S_{jl})$  and  $\mathbf{W}(=W_{jk})$  are the matrices containing the spectral and structural information  
344 of the reference set, respectively, and the matrix  $\mathbf{B}=\{B_{il}\}$  describes the basis spectra. The

345 matrix elements  $S_{jl}$  and  $B_{il}$  are obtained by discretizing the experimental CD spectra  $S_j(\lambda)$  and  
 346 basis spectra  $B_i(\lambda)$  at L wavelengths. This optimization problem is solved simultaneously for  
 347 the matrices  $\mathbf{A}$  and  $\mathbf{B}$  by setting their element-wise matrix derivatives to zero:

$$\frac{\partial}{\partial \mathbf{A}} \text{tr}[(\mathbf{W} \mathbf{A} \mathbf{B} - \mathbf{S})^T (\mathbf{W} \mathbf{A} \mathbf{B} - \mathbf{S})] =$$

$$2 \mathbf{B} \mathbf{B}^T \mathbf{A}^T \mathbf{W}^T \mathbf{W} - 2 \mathbf{B} \mathbf{S}^T \mathbf{W} \stackrel{!}{=} 0 \quad (7)$$

349

$$\frac{\partial}{\partial \mathbf{B}} \text{tr}[(\mathbf{W} \mathbf{A} \mathbf{B} - \mathbf{S})^T (\mathbf{W} \mathbf{A} \mathbf{B} - \mathbf{S})] =$$

$$2 \mathbf{B}^T \mathbf{A}^T \mathbf{W}^T \mathbf{W} \mathbf{A} - 2 \mathbf{S}^T \mathbf{W} \mathbf{A} \stackrel{!}{=} 0 \quad (8)$$

351

352 which, yields two coupled non-linear matrix equations

$$353 \quad \mathbf{A} = (\mathbf{W}^T \mathbf{W})^{-1} \mathbf{W}^T \mathbf{S} \mathbf{B}^T (\mathbf{B}^T \mathbf{B})^{-1} \quad (9)$$

354 and

$$355 \quad \mathbf{B} = (\mathbf{A}^T \mathbf{W}^T \mathbf{W} \mathbf{A})^{-1} \mathbf{A}^T \mathbf{W}^T \mathbf{S} \quad (10)$$

356 Equations 9 and 10 are solved iteratively, starting from a random generated matrix  $\mathbf{A}$   
 357 ( $0.0 \leq \alpha_{ki} \leq 1.0$ ) to obtain an initial  $\mathbf{B}$  via eq. 10, which is inserted into eq. 9 to obtain an  
 358 improved  $\mathbf{A}$ , repeated until convergence. A summary of the soft optimization scheme is  
 359 shown in Fig. 3B

360 This soft optimization procedure was systematically repeated for each secondary  
 361 structure classification method K times to obtain optimized basis sets with 1-K basis spectra  
 362 (K being the number of secondary structure elements in the classification method). These  
 363 series of basis sets determine the best fitting accuracy as the function basis set size and  
 364 secondary structure classification. For each optimization procedure, the convergence criterion  
 365 was to reach less than  $Y = 0.0001 \times 10^3 \text{ deg cm}^2/\text{dmol}$  change between iterations in the  
 366 average RMSD of the CD spectra calculated for the reference set ( $\Delta \text{RMSD}_{\text{ref}}$ ).

## 367 **2.6 Spectral component analysis**

368 The overall accuracy of our method is limited by two factors, first, the information content of  
369 the secondary structure composition, and second, the applicability of linear combinations of  
370 basis spectra in approximating the experimental CD spectra. The first factor was addressed by  
371 our soft optimization approach (section 2.5). The second factor determines an upper limit for  
372 the fitting accuracy (lowest  $\text{RMSD}_{\text{ref}}$ ) given a set of reference CD spectra and the number of  
373 used basis spectra. To this aim, we carried out a principal component analysis (PCA) on CD  
374 spectra of the SP175 reference set (see Section 3.1). PCA is a mathematical method to  
375 describe a (multidimensional) data set of  $N$  members by a basis set of  $N$  orthogonal principal  
376 component (PC) vectors. How much the data points differ from the average of the set (the  
377 variance of the data set) along a PC vector is quantified by its eigenvalue. It is possible to  
378 describe a data set with just a few ( $F$ ) PC vectors of the highest eigenvalues (dimensionality  
379 reduction) [21], which – by construction – retains the maximum possible variance of the data  
380 set, and consequently, provides the reconstruction with the smallest possible deviation. Here,  
381 we used PCA to describe the reference CD spectra (a set of  $L$  dimensional data points) by  
382 basis sets constructed from 1-10 PC vectors of the highest eigenvalues. The basis spectrum  
383 coefficients ( $C_{ij}$ ) of the protein  $j$  for these basis sets were defined as the projection of the CD  
384 spectrum along the particular PC vector  $i$  (described in Section 3.5). Figure 4 shows the first  
385 ten principal components with highest eigenvalues, the fitting accuracy ( $R_i$ ) of  
386 reconstructions for selected CD spectra, as well as the SP175 protein set on average. Note  
387 that this analysis is based solely on CD spectra of the reference data set, and does not account  
388 for any possible source of inaccuracy related to structure, secondary structure calculations, or  
389 scaling errors within the reference set.

390

## 391 **Materials and Methods**

### 392 **3.1 Structures and CD spectra used for calibration**

393 To derive and assess the required basis sets for our CD spectrum calculation method, several  
394 protein data sets were compiled of which both the CD spectra and the structure of the proteins  
395 were experimentally determined. We used seven protein data sets throughout this study, for  
396 which comprehensive lists are provided in supplementary materials (Tables S1-S3).

397 The protein data set SP175 (Table S1) was the standard reference set to determine  
398 basis sets derived only from secondary structure information. It also represented globular  
399 proteins, e.g. during the principal component analysis of protein CD spectra, as was used to  
400 determine the fitting accuracy of all SESCO basis sets. This data set is comprised of 71  
401 globular protein structures and their corresponding CD spectra, assembled by Lees *et al.* [10]  
402 such that its secondary structure distribution reflects that of the full collection of proteins in  
403 the protein databank [22] (PDB). In addition, the proteins for SP175 were selected according  
404 to the following criteria: 1) high resolution PDB structure available (average resolution  
405 1.9 Å), 2) high quality CD spectrum available (wavelength range 175-269 nm), 3) the set  
406 represents the major protein folds as defined by the CATH [23] database, 4) the set covers  
407 proteins with diverse secondary structure compositions.

408 The SP175 data set was divided into two sub-sets for the hard optimization approach,  
409 a larger training set for calculating the basis spectra, and smaller evaluation set for testing the  
410 predictive power of basis set. The second protein set termed TR64 is comprised of 64  
411 proteins, was the standard training set for the hard basis spectrum optimization approach. The  
412 third data set is labelled EV9 (Table S2), and was used as the standard evaluation set for the  
413 hard basis spectrum optimization. The EV9 set consists of nine proteins, seven of which were  
414 part of SP175, and two additional proteins with a  $\beta$ -sheet architecture. The evaluation set was  
415 selected such, that it contains three  $\alpha$ -helical proteins, three  $\beta$ -sheet containing proteins, and

416 three proteins with an  $\alpha/\beta$  fold. In addition, the proteins of the evaluation set did not contain  
417 gaps in the structure, and had to be small enough for visual inspections and quick evaluation  
418 during basis set optimizations.

419 The fourth protein set was used for cross-validation to assess the prediction accuracy  
420 of both the hard and soft basis sets (Fig 3). The cross-validation set (Table S3) – labelled TS8  
421 for test set – contains eight globular proteins, which were not part of the previously  
422 mentioned data sets. The proteins of the TS8 set were selected from a set of 22 proteins,  
423 previously used to determine basis spectrum sets for CD spectrum deconvolution [24]. The  
424 CD spectra were obtained from an example spectrum set provided for the deconvolution  
425 algorithm CCA by Hollósi *et. al.* [12], whilst their crystallographic structures (crystal  
426 structures) were retrieved from the PDB [22]. The globular proteins of the TS8 set had  
427 slightly truncated spectra (178-260 nm) compared to the SP175 proteins. The crystal  
428 structures did not contain any gaps or missing residues, and had an average resolution of  
429 1.7 Å.

430 The fifth data set – labelled as GXG20 – consists of the CD spectra and structural  
431 ensembles of 20 short peptides with the consensus sequence of Ac-GXG-NH<sub>2</sub> (X stands for  
432 any amino acid). This reference set was used to estimate the contribution of amino acid side  
433 chains in a protein environment. The CD spectra of these peptides were recorded on the AU-  
434 CD beam line at the ASTRID2 synchrotron radiation source in Aarhus Denmark, under  
435 similar conditions (298 K, in 50 mM NaF solution with Na<sub>2</sub>HPO<sub>4</sub> buffer, pH = 7.1) within the  
436 wavelength range of 178-300 nm. Peptide concentrations (0.5-2.0 mg/ml) were determined  
437 based on the light absorption at 214 nm [25] and, when possible, at 280 nm (for GYG and  
438 GWG). The structural ensembles for each peptide were generated using a 10  $\mu$ s long  
439 molecular dynamics simulation (recorded at every 2 ns) using the GROMACS simulation  
440 package [26] (version 5.06) and the Charmm 36M [27] parameter set with explicit TIP3P

441 water modified for the force field. The simulations were performed under periodic boundary  
442 conditions on 298 K, with Na<sup>+</sup> and Cl<sup>-</sup> ions appropriate for a 50 mM ionic strength and  
443 protonation states dominant at pH = 7. The size of the simulation box was chosen such to  
444 keep ~2 nm distance between any solute atom and the box boundaries, resulting in a  
445 simulation box of ~5500 atoms.

446 There were two more data sets that were used to derive mixed basis sets which  
447 include both backbone (secondary structure) and side chain related basis spectra. The sixth  
448 protein set is a sub-set of the SP175 reference set, containing 59 globular proteins that  
449 provide a wide variation secondary structure contents, designated as GP59 (globular protein  
450 set). The 12 proteins excluded from the SP175 set to form the GP59 set were hard to predict  
451 by several spectrum prediction algorithms (see section 5.1) and may have hindered the  
452 determination of side chain basis spectra. The seventh data set contained all 20 peptides of  
453 the GXG20 data set and the 59 proteins of the GP59 data set, resulting in a mixed polypeptide  
454 set with 79 entries (designated as MP79). The MP79 set was used as a reference set to derive  
455 the average contribution of side chain groups, as well as our mixed basis sets.

456 In addition to the protein data sets to derive and cross-validate basis sets, we prepared  
457 a system to probe the effects of conformational dynamics has on the quality of predicted CD  
458 spectra described in Section 5.2. The chosen system was the complex of CBP-NCBD and  
459 P53-AD2, two disordered protein domains which form an ordered crystallisable complex.  
460 These protein domains were produced by the company Karebay using solid state peptide  
461 synthesis, and the CD spectrum of their 1:1 molar ration complex was measured under the  
462 same conditions as described for the peptides of the GXG20 data set. Three structural models  
463 were prepared for the P53/CBP complex based on an NMR solution structure obtained from  
464 the protein data bank (PDB code 2L14). The three models included the original NMR bundle  
465 with 20 conformations, the first extracted conformation of the bundle, and a structural

466 ensemble obtained from a molecular dynamics simulation. The details of the simulation were  
467 similar to those described for the peptides of GXG20 reference set, except that the Charmm  
468 22\* parameter set [28] was used instead of the Charmm 36M, and the simulation box  
469 contained ~82 000 atoms. The simulation was started using the first conformation of the  
470 NMR bundle, and protein conformations were recorded after every 10 ns throughout a 10 us  
471 long simulation trajectory, resulting in an ensemble of 1000 conformations.

472 CD spectra in all data sets were converted to Mean Residue Ellipticity (MRE). The  
473 CD spectra themselves as well as the deviation between the experimental and calculated  
474 spectra in this work are shown in the units of  $10^3$  degree\*cm<sup>2</sup>/dmol, abbreviated as kMRE.  
475 Prior to the analysis, crystallographic water, non-standard residues, and cofactors were  
476 removed from the crystal structures of the data sets. Residue numbers and chain codes were  
477 relabelled to ensure compatibility with the analysis software. For all entries of the reference  
478 protein sets, the amino acid composition and secondary structure contents were determined  
479 (section 3.2). Additionally, CD spectra of globular proteins of the reference sets were also  
480 calculated by Dichrocalc and PDB2CD software. A principal component analysis was  
481 performed on CD spectra of the SP175 data set to determine the number of necessary spectral  
482 components and to probe correlations between the principal components, secondary structure  
483 elements and amino acid composition (see sections 3.5 and 3.6).

## 484 **3.2 Secondary structure determination**

485 The secondary structure of proteins comprising the data sets described in section 3.1 was  
486 determined from the protein structure using the algorithms DSSP (Dictionary of Secondary  
487 Structure for Proteins) [29] as well as DISICL (Dihedral based Segment Identification and  
488 Classification) [30] and an in-house algorithm HbSS (Hydrogen-bond based Secondary  
489 Structure). DSSP is an algorithm based on identifying secondary structure elements based on  
490 their distinctive backbone hydrogen-bonding patterns. DSSP classifies each amino acid in the

491 protein as one of the eight secondary structure elements shown in Table S4. The DISICL  
492 algorithm classifies tetra-peptide segments of the protein based on two ( $\phi, \psi$ ) backbone  
493 dihedral angle pairs. The detailed DISICL (DS\_det) library contains nineteen secondary  
494 structure elements, which are grouped into eight broader secondary structure classes in the  
495 simplified DISICL library (DS\_sim). Table S5 lists the detailed and simplified DISICL  
496 secondary structure elements. The HbSS algorithm was used to distinguish between parallel  
497 and antiparallel  $\beta$ -strands (Fig. S15), determined based on backbone hydrogen bonding  
498 patterns. In addition, HbSS determined helical and turn-based secondary structure elements  
499 (listed in Table S6) similarly to DSSP. Furthermore, the HbSS classification was also  
500 extended (HBSS\_ext) based on the  $\beta$ -strand twist to determine the amount of left-handed,  
501 relaxed (non-twisted) and right-handed  $\beta$ -strands as described in Ref [31] with boundaries of  
502  $0^\circ$  and  $23^\circ$ , respectively, for both parallel and anti-parallel strand arrangements. This  
503 extended structural classification is directly comparable to the estimates of the deconvolution  
504 algorithm BestSel (Table S7). For comparison, the secondary structure content of each  
505 protein was estimated from their CD-spectrum using the deconvolution algorithms SELCON  
506 [20] and BestSel [11]. These estimates were also included in the spectral component analysis  
507 (section 2.6).

### 508 **3.3 Initial basis sets**

509 Three deconvolution basis sets (Figs. S16-S18) were used to assess the applicability of our  
510 method without extensive optimization. The first basis set (Set\_Perczel-1) was derived by  
511 Hollósi and Perczel [12] and contains five basis spectra ( $\alpha$ -helix,  $\beta$ -strand, Turn type I/III,  
512 unordered, and other contributions). The second basis set, determined by Shreerama and  
513 Woody (Set\_Sreer-1) [32], contains six basis spectra (regular helix, irregular helix, regular  
514 strand, irregular strand, poly-proline helix, and disordered). Finally, the third basis set  
515 (Set\_BestSel-1) was derived for the BESTSEL program by Micsonai and Kardos [11], with

516 eight basis spectra (regular helix, irregular helix, left-handed anti-parallel, relaxed anti-  
517 parallel, and right-handed anti parallel  $\beta$ -strands, parallel  $\beta$ -strand, turn structures, and  
518 others). For each of these basis spectra, secondary structure elements from the structure  
519 classification algorithms (DSSP and DISICL for the first two and DISICL and HbSS for the  
520 third) were assigned based on the description of the basis set in their original publications.  
521 Once the assignment was complete, the CD spectra for the proteins of the TS8, EV9, TR64  
522 and SP175 sets were calculated using the secondary structure content of their crystal structure  
523 and were compared to the experimental spectra.

524 Furthermore, we derived naive basis sets for the classification algorithms (Figs. S1-  
525 S5) DSSP (Set\_DSSP-F), simplified and detailed DISICL (Set\_DS-simF and Set\_DS-detF,  
526 respectively), normal and extended HbSS (Set\_HBSS-F and Set\_HBSS-E) and the  
527 deconvolution algorithm BESTSEL (Set\_Bestsel-der). These basis sets contained one basis  
528 spectrum for each of the algorithm's secondary structure elements, and the SP175 data set  
529 was used as a reference set to calculate their basis spectra. These basis sets were used as  
530 initial guesses for the hard and soft optimization procedures.

### 531 **3.4 Spectrum prediction quality**

532 We determined the basis set quality based on the average accuracy of the calculated spectra  
533 ( $\text{RMSD}_{\text{set}}$ ) for the proteins of the TS8 cross-validation set ( $\text{RMSD}_{\text{cross}}$ ) and the SP175  
534 reference set ( $\text{RMSD}_{\text{ref}}$ ). However, it was necessary to assess the quality of the calculated  
535 spectra for individual proteins as well. The RMSD of a single calculated spectrum of protein  $j$   
536 ( $R_j$ ) was determined as the root-mean-square deviation between a spectrum calculated from  
537 the structure ( $S_{jl}^{\text{calc}}$ ) and the experimental CD spectrum ( $S_{jl}$ )

538

$$539 \quad R_j = \sqrt{\frac{1}{L} * \sum_{l=1}^L (S_{jl}^{\text{calc}} - S_{jl})^2}. \quad (11)$$

540

541 The indices  $j$  ( $1 \dots N$ ) denote the protein, whilst  $l$  ( $1 \dots L$ ) denote the wavelength of the  
542 discretized spectra. By comparing  $R_j$  of a protein to the  $\text{RMSD}_{\text{set}}$ , it was possible to identify  
543 the proteins whose the CD spectra are hard to predict using a given methodology. In addition,  
544 the standard error of the mean RMSD ( $SE_{\text{RMSD}}$ ) was determined as  $SE_{\text{RMSD}} = \frac{\sigma}{\sqrt{N}}$ , where  $\sigma$   
545 is the standard deviation of  $R_j$  within the data set.

### 546 **3.5 Principal Component Analysis of CD spectra**

547 We performed a PCA on the CD spectra of the SP175 protein reference set, treating each  
548 spectrum as an  $L$  dimensional vector (where  $L$  is the number of wavelengths). The resulting  
549 PC vectors were described by the matrix  $\mathbf{V} = \{V_{pl}\}$ , where the indices  $p$  ( $1 \dots P$ ) and  $l$  ( $1 \dots L$ )  
550 stand for the principal component (in order of their eigenvalue) and wavelength, respectively.  
551 In our case, each  $\mathbf{v}_{rp}$  row vector of the matrix  $\mathbf{V}$  is one of the discretized PC vectors. The  
552 spectra of a reference protein data set were reconstructed using the first  $P = \{1-10\}$  principal  
553 components

$$555 \quad S_{jl} = S_l^{\text{ave}} + \sum_{p=1}^P C_{jp} V_{pl}, \quad (13)$$

556  
557 where  $S_{jl}$  is the circular dichroism of the  $j^{\text{th}}$  reconstructed protein spectrum at the wavelength  
558  $l$ ,  $C_{jp}$  is the projection of that spectrum along the PC vector  $p$ ,  $V_{pl}$  and  $S_l^{\text{ave}}$  are the value of  
559 the PC vector and the average CD signal of the data set at wavelength  $l$ , respectively. The  
560 projection of spectrum  $j$  along the principal component  $p$  can be calculated by taking the  
561 scalar product of the normalized spectrum and the PC vector

$$563 \quad C_{jp} = (\mathbf{s}_{rj} - \mathbf{s}^{\text{ave}})^T \mathbf{v}_{rp}. \quad (14)$$

564  
565 The vector  $\mathbf{s}^{\text{ave}} = \{S_l^{\text{ave}}\}$  is the averaged CD spectrum of the data set.

566 The projections along the PC vectors are analogous to the basis spectrum coefficients.  
567 Therefore, Pearson correlation ( $R_{\text{pearson}}$ ) between the secondary structure composition, amino  
568 acid composition, and the projections were calculated for the proteins in the SP175 reference  
569 set to estimate the importance of these structural descriptors in calculating the CD spectra.  
570 The pearson correlation between these descriptors were calculated according to

571

$$572 \quad R_{\text{pearson}} = \frac{\sum(X_j - \bar{X})(Y_j - \bar{Y})}{\sqrt{\sum(X_j - \bar{X})^2} \cdot \sqrt{\sum(Y_j - \bar{Y})^2}}, \quad (15)$$

573 where  $X_j$  and  $Y_j$  are either the fraction of an amino acid, the fraction of amino acids classified  
574 as a secondary structure element, or the projection of the CD spectrum along a principal  
575 component for the protein  $j$ , whilst  $\bar{X}$  and  $\bar{Y}$  are the calculated averages for the whole  
576 reference set.

### 577 **3.6 Side chain contributions**

578 To assess the contribution of amino acid side chains, we assumed that the two main  
579 contributors to the CD spectra of proteins are the secondary structure and the chromophores  
580 of the amino-acid side chains, with no coupling between the side chains and the rest of the  
581 protein. This assumption allows the calculation of a backbone independent side-chain  
582 correction baseline. The side chain baseline of a protein was determined by the weighted  
583 average of the individual side-chain CD signals, where the weighing factor was the  
584 corresponding amino acid content for the protein (similarly to eq. 1).

585 The individual side-chain contributions were estimated from the CD spectra of the  
586 MP79 reference set. First, the secondary structure contributions were calculated using an  
587 initial basis set (either DS5-4, DS-dT, DSSP-1 or DSSP-T, see the Sup. Mat. for further  
588 details on these basis sets) and subtracted from the experimental spectra. Then, the  
589 “secondary-structure-free” CD spectra and the amino acid composition of the proteins and

590 peptides were used to derive one basis spectrum for each amino acid side chain. We also  
591 derived basis sets with more simplified representations of the side chain contributions. These  
592 mixed basis sets were derived from the MP79 reference set in three steps. First, the secondary  
593 structure contributions were calculated and subtracted from the CD spectra. Second, basis  
594 spectra for the side chains were derived and optimized using the amino acid composition and  
595 the secondary-structure free CD spectra of the reference proteins. Third, the side chain  
596 contributions were calculated and subtracted from the experimental CD spectra, and these  
597 “side-chain free” CD spectra were used to re-optimize the basis spectra for backbone  
598 contributions (secondary structure).

599         The optimization of the side chain and backbone basis spectra was performed by the  
600 hard optimization scheme separately (as described in section 2.4) with the following  
601 modifications. Before the optimization, the MP79 reference set was separated into six sub-  
602 sets (each containing 13 or 14 proteins). In each optimization step, after the secondary  
603 structure elements / amino acids were grouped and assigned to basis spectra, one of the MP79  
604 sub-sets was designated as the evaluation set, whilst the rest of the reference proteins were  
605 used to derive the basis spectra (as a training set). The derived basis spectra were used to  
606 calculate the CD spectra of the evaluation set. This process was repeated six times such that  
607 each of the sub-sets was predicted once from the rest of the MP79 reference set. After  
608 calculating each of the evaluation sub-sets, their RMSD was averaged and used as  $\text{RMSD}_{\text{eval}}$   
609 to determine if the assignment is accepted or rejected. The optimization process was  
610 continued until 250 - 5000 accepted moves were reached (depending on the basis set size),  
611 with the five best assignments recorded for further use. The recorded assignments were  
612 recalculated from the full MP79 reference set. These finalized basis spectra were used to  
613 predict the “secondary-structure free” or “side chain free” CD spectra of the TS8 protein set  
614 as cross validation. The combination of side chain and backbone basis spectra that predicted

615 the TS8 protein set with lowest  $\text{RMSD}_{\text{cross}}$  were combined into mixed basis sets. These mixed  
616 basis sets were used to calculate the CD spectra of the SP175, GXG20, GP59, and TS8 data  
617 sets, so that they can be compared with initial the basis sets, PDB2CD, DichroCalc, and  
618 BestSel algorithms.  
619

## 620 **Results and Discussion**

621 We present our results in two sections. Section 4 is focused on the optimization and  
622 assessment of our semi-empirical spectrum calculation approach, SESCO. In Section 5, we  
623 compare the impact of different contributions on the CD spectra of our reference proteins, in  
624 order to identify the largest sources of discrepancies, which might support further  
625 improvements.

### 626 **4. Secondary structure based CD calculations**

627 We derive the optimal basis spectra required for our semi-empirical spectrum calculations,  
628 using the SP175 reference set including the CD spectra and secondary structure classification  
629 of 71 proteins. To assess the average accuracy of SESCO predictions, we proceeded in three  
630 steps. First, we applied a principal component analysis (PCA) to determine the best  
631 achievable accuracy at which the CD spectra can be described using basis sets of a given size.  
632 Second, we used our soft optimization approach to derive basis sets to optimally reproduce  
633 the CD spectra of reference proteins from their secondary structure information. Third, we  
634 derived basis sets optimized for prediction accuracy using the hard optimization approach and  
635 assessed the predictive power of the obtained basis sets through cross validation using the  
636 TS8 data set. In addition, we compared SESCO with other published CD prediction methods,  
637 and assessed the sensitivity of our basis sets with respect to the secondary structure  
638 composition.

## 639 **4.1 Estimate of best possible accuracy**

640 As the main determinants of the accuracy, we considered the number of used basis spectra,  
641 the experimental error, both on the structure and the CD spectrum level, as well as the  
642 secondary structure classification method applied for spectrum calculation. We quantified the  
643 best possible accuracy of our basis sets by the fitting accuracy ( $\text{RMSD}_{\text{ref}}$ ), the  $\text{RMSD}_{\text{set}}$   
644 calculated for the reference set used to derive the basis set. For a new protein with a crystal  
645 structure of similar quality, the RMSD of the predicted CD spectrum is expected to be larger  
646 than the fitting accuracy.

647 We first determined the best achievable accuracy for a given number of basis spectra  
648 (Fig. 4). To this end, basis spectra were calculated as eigenvectors of a PCA of the SP175  
649 reference CD spectra, which by construction minimize the RMSD to the reference spectra as  
650 described in Section 3.5. In Fig. 4A the first ten obtained PCA basis spectra are illustrated. In  
651 line with previous results [13,16,33], the first two PCA basis spectra are similar to the CD  
652 spectrum of purely  $\alpha$ -helical and  $\beta$ -sheet proteins, and represent already about 94% of the  
653 variance within the spectra of the reference data set. As the sorted eigenvalues (Fig. 4B)  
654 suggest, only a few basis spectra should be required to achieve good to very high accuracy.  
655 Indeed, almost 99% of the variance of the SP175 CD spectra are represented by only the first  
656 five basis spectra, and the first ten basis spectra essentially describe the full data set. This  
657 expectation is confirmed by the reconstruction of the  $\alpha$ -amylase precursor spectrum (#3 of  
658 the SP175) shown in Fig. 4C, which corresponds to using one to ten PCA basis spectra. For  
659 this spectrum already the first three basis spectra allow a good reconstruction with an average  
660 RMSD of 2.105 kMRE units ( $10^3 \text{ deg} \cdot \text{cm}^2/\text{dmol}$ ), and using more than six or seven basis  
661 spectra essentially recovers the reference spectrum. For comparison, the average spectrum  
662 (brown curve) is shown, corresponding to using no basis spectra at all, which serves as a  
663 lower limit of how well the spectra can be 'predicted' without any information. The table in

664 Fig. 4D quantifies the changes in fitting accuracy for three sample spectra, taken from  
665 representative proteins of the three main structure classes ( $\alpha$ -helical,  $\beta$ -sheet, and mixed  $\alpha/\beta$ )  
666 and also provides the average RMSD for all 71 spectrum reconstructions (RMSD<sub>ref</sub>). For  
667 RMSD<sub>ref</sub> a rapid decrease from an initial 6.395 to 1.335 kMRE units is observed for using  
668 the first three components, followed by a more gradual decrease from 0.955 to 0.182 for  
669 using up to ten components.

670 Depending on the desired accuracy, these results suggest that three to eight basis  
671 spectra should be used to construct highly accurate basis sets. Further in this study, we will  
672 use the deviations 0.237 kMRE and 6.395 kMRE obtained for eight and zero basis spectra,  
673 respectively, as an estimate for the 'best' and 'worst' achievable accuracy using all structural  
674 information but a limited set of up to eight basis spectra. The actual achievable accuracy is  
675 reduced by the fact that only limited structural information is contained in the secondary  
676 structure and by potential experimental error.

## 677 **4.2 Accuracy limits of the secondary structure based CD** 678 **spectrum prediction**

679 After determining the best possible accuracy by PCA, we probed the accuracy CD spectrum  
680 calculations based on the limited structural information given by the secondary structure  
681 composition. To this end, we determined the secondary structure composition from the  
682 reference structures obtained by X-ray crystallography using five secondary structure  
683 classification methods (DSSP, DS<sub>det</sub>, DS<sub>sim</sub>, HbSS and HbSS<sub>ext</sub>) described in Section  
684 3.2. For each of the secondary structure classification methods, various basis sets were  
685 derived and their fitting accuracy was tested.

686 The fitting accuracy (RMSD<sub>ref</sub>) of our basis sets is shown as the function of used basis  
687 spectra (basis set size) in Fig. 5A. We compared the optimized soft (solid lines) and hard  
688 (crosses) basis sets – coloured according to the underlying structure classification method –  
689 to the best possible fitting accuracy from the PCA basis sets (depicted as a dotted line). The

690 more general soft basis sets were optimized for the lowest possible  $\text{RMSD}_{\text{ref}}$  and represent  
691 the best fitting accuracy achievable with the limited structural information provided by the  
692 secondary structure classification algorithms.

693 For all five classification algorithms, the fitting accuracy of soft basis sets improves  
694 monotonously with the increasing basis set size. However, the gain in accuracy above six to  
695 eight basis spectra becomes increasingly smaller, and converges to values between 3.7 (for  
696 HbSS) and 2.8 (DS\_det) kMRE units depending on the classification method. Notably, the  
697 best fitting accuracy of 2.8 kMRE is achieved for basis sets based on the DS\_det  
698 classification method (blue), underscoring the trend that better fits are achieved with more  
699 fine grained secondary structure classification schemes. In comparison, the best possible  
700 fitting accuracy outlined by the PCA basis set converges to 0.17 kMRE. These trends indicate  
701 that predicting the CD spectra exclusively from the secondary structure of the protein crystal  
702 structure is possible, but imposes a significant limitation on the accuracy of the calculated  
703 spectra ( $\sim 3.2$  kMRE). This limitation is further influenced ( $\pm 0.5$  kMRE) by the secondary  
704 structure classification scheme.

705 In addition, Fig. 5A shows that the hard basis sets with three to eight basis spectra  
706 converged to fitting accuracies of 3.2 - 3.8 kMRE, which are comparable to the limits set by  
707 the soft basis sets of the same size and classification method (2.8 - 3.7 kMRE). As expected,  
708 the two optimization methods yield basis sets of the same fitting accuracy if the number of  
709 secondary structure elements in the classification is equal to the number of basis spectra  
710 ( $F=K$ ). These results indicate that the basis sets obtained by the hard optimization method  
711 accurately reconstruct the reference CD spectra, despite the additional restraints used during  
712 the optimization to improve the prediction accuracy.

### 713 **4.3 Cross-validation of the prediction accuracy**

714 We assessed the prediction accuracy of the optimized basis sets by cross validation.

715 To this end we used each of these basis sets to calculate the CD spectra for the TS8 cross-  
716 validation set, comprising eight selected proteins with high quality CD spectra (between 178 -  
717 260 nm), and high resolution crystal structures ( $< 2.5 \text{ \AA}$ ). The prediction accuracy of each  
718 basis set was determined by computing the average RMSD between the calculated and  
719 measured CD spectra of the cross validation set ( $\text{RMSD}_{\text{cross}}$ ).

720 Figure 5B shows the obtained  $\text{RMSD}_{\text{cross}}$  for our basis sets: hard basis sets are  
721 depicted as crosses and soft basis set series as solid lines, coloured according to the  
722 underlying classification algorithm. The resulting prediction accuracies show different trends  
723 compared to the fitting accuracies calculated for the SP175 reference spectra (Fig. 5A), and  
724 they allow us to determine whether or not the results were influenced by over-fitting to the  
725 experimental error of the reference data set.

726 The TS8 CD spectra calculated from our soft basis sets (solid lines on Fig. 5B) show  
727 the best prediction accuracy between 2-6 basis spectra (depending on the classification  
728 algorithm). Including additional basis spectra into our basis sets results in larger deviations  
729 from the experimental CD spectra, although the decrease in accuracy for more than eight  
730 basis spectra is small. Additionally, the trend that classification methods with more secondary  
731 structure elements yield smaller RMSDs, as depicted in Fig. 5A, is not observed in Fig. 5B.  
732 Instead, classification algorithms with eight or less secondary structure elements (DSSP (8),  
733 DS\_sim (8), and HbSS (7)) are the most suitable for predicting the CD spectra with soft basis  
734 sets. In contrast, the prediction accuracy of soft basis sets based on more fine-grained  
735 classification methods (namely DS\_det (19, extended turn definitions) and HbSS\_ext (11,  
736 extended  $\beta$ -sheet classification)) were markedly worse than their respective fitting accuracy,  
737 as seen from the 1.2 and 0.9 kmRE larger average RMSD of the cross validation (compared  
738 to the SP175 results). Unexpectedly, for some basis sets – particularly those based on DSSP

739 – their prediction accuracy was better than their fitting accuracy, which we attribute to the  
740 higher average quality of crystal structures in the cross-validation data set.

741 The restraints and ‘internal cross-validation’ during the evaluation step applied during  
742 the hard optimization scheme significantly reduced over-fitting in most of our hard basis sets  
743 (crosses in Fig. 5B), and produced basis with prediction accuracies of 3.034, 3.124, 3.042,  
744 and 3.288 kMRE units for the DSSP (DSSP-1), DS\_sim (DS3-1), DS\_det (DS6-1) and  
745 HbSS\_ext (HBSS-3) classification algorithms, respectively. These basis sets – regardless of  
746 the underlying classification algorithm – consist of three to eight basis spectra (again, in line  
747 with the PCA results), and predict the CD spectra of the SP175 reference set with a  
748 comparable accuracy. These common features suggest that our hard basis sets indeed  
749 minimized the over-fitting to reference proteins, and reached the best prediction accuracies  
750 possible based on the experimental information of the reference data set.

#### 751 **4.4 Performance comparison**

752 Above, we derived SESCO basis sets and reported the estimated fitting and prediction  
753 accuracy of our semi-empirical CD calculation scheme. We use these accuracy values to  
754 compare SESCO with other available CD calculation methods, DichroCalc, and PDB2CD.  
755 For this comparison, we also calculated the CD spectra of the SP175 and TS8 proteins from  
756 their crystallographic structures using both DichroCalc and PDB2CD. We emphasize that  
757 these algorithms represent different approaches of quantitative predictions based on CD  
758 spectroscopy. Note that PD2CD was also developed based on the SP175 reference protein  
759 set, thus our proteins sets provide an even ground for a comparison to SESCO, while  
760 DichroCalc – being an *ab initio* spectrum calculation method – was not parametrized to  
761 reproduce any particular protein reference set.  
762 Dichrocalc is a heuristic *ab initio* CD spectrum calculation algorithm that predicts a spectrum  
763 from the protein conformation using QM derived parameters. The average RMSD-s of CD

764 spectra predicted by DichroCalc were 6.095 and 6.124 kMRE units for the SP175 and TS8  
765 data sets (indicated by the red dashed lines in Figs 5A and 5B), respectively. Note that as  
766 expected, the average accuracy of DichroCalc was similar for both datasets (no over-fitting),  
767 however, this accuracy was close to the PCA determined RMSD limit of a predictive method  
768 (6.4 kMRE). This indicates that DichroCalc can only determine the most prominent spectral  
769 features and likely sacrificed some of the accuracy of typical *ab initio* methods to be  
770 applicable for proteins.

771 PDB2CD (RMSD<sub>set</sub> values shown as brown dashed lines in Fig. 5) is a purely  
772 empirical method, which calculates the CD spectrum of a target protein by selecting  
773 structurally similar reference proteins based on secondary and tertiary structure information,  
774 and taking the weighted average of their spectrum. For the SP175 reference set PDB2CD was  
775 markedly more accurate (RMSD<sub>ref</sub> 2.395 kMRE) than any of the SESCO basis sets, or  
776 DichroCalc. However, in contrast to DichroCalc and most of hard SESCO basis sets, the  
777 prediction accuracy of PDB2CD (RMSD<sub>cross</sub> 4.725 kMRE) was significantly worse than its  
778 fitting accuracy. These results suggest that PDB2CD has similar or less predictive power  
779 compared to our SESCO basis sets (RMSD<sub>cross</sub> 3.0 - 3.9 kMRE), and may suffer from over-  
780 fitting to the SP175 reference set. This outcome was in contrast with the results of the cross-  
781 validation performed by Mavridis *et al.* [19] which showed very similar fitting and prediction  
782 accuracies for PDB2CD. Therefore, we performed a second cross validation using the same  
783 14 protein structures, on which both the SESCO basis sets and PDB2CD achieved and  
784 RMSD<sub>set</sub> of ~3.8 kMRE units, whilst DichroCalc performed somewhat worse (5.6 kMRE).  
785 We also found that four of the best eight cases where PDB2CD predicted a very accurate  
786 spectrum were  $\beta$ -crystallin proteins with a very similar fold, all of which were part of the  
787 SP175 reference set as well, although with a different crystal structure.

788 In Fig. 6 we present a comparison between the CD spectra calculated by three SESCA  
789 hard basis sets, DichroCalc, and PDB2CD for selected proteins: one  $\alpha$ -helical, one  $\beta$ -sheet,  
790 and one  $\alpha/\beta$  protein, in Figs. 6D - 6F, respectively. Although the number and shape of the  
791 basis spectra can differ significantly (Figs. 6A - 6C) depending on the assignment and  
792 classification method, the figure illustrates that the best performing SESCA basis sets often  
793 yield very similar calculated spectra. The calculated CD spectra from different spectrum  
794 prediction methods often have a comparable average RMSD for the same protein, and all  
795 correctly reproduce the overall shape of the experimental CD spectrum.

796 As an additional technical remark, we would like to highlight the speed advantage of  
797 the SESCA approach over PDB2CD and DichroCalc. We tested the speed of the algorithms  
798 by providing a single conformation for a protein of average size (490 amino acids) in PDB  
799 format, and measuring the time to receive the CD spectrum. While it took PDB2CD and  
800 DichroCalc servers nineteen and eight minutes respectively – queuing time not included – to  
801 predict a CD spectrum, SESCA predicted the spectrum in 0.3 seconds using the DSSP  
802 classification, and determined the average CD spectrum of an ensemble of 1000  
803 conformations of the same protein just under five minutes. This three orders of magnitude  
804 difference in the calculation speed is due to the relatively simple geometric terms required for  
805 determining the secondary structure composition and the pre-calculation of basis sets in the  
806 SESCA scheme. The speed advantage in CD predictions may be particularly important for  
807 the iterative refinement of structural ensembles, an approach often used in the modelling of  
808 intrinsically disordered proteins.

## 809 **4.5 Sensitivity to changes in secondary structure**

810 We quantified the prediction accuracy of SESCA basis sets, PDB2CD, and DichroCalc, based  
811 on the average deviation (RMSD) from experimental CD spectra. In the following, we  
812 estimate the sensitivity of this metric with respect to changes in the secondary structure

813 composition. For this purpose, we selected a very simple basis set (DS-dT) with only three  
814 basis spectra ( $\alpha$ -helix,  $\beta$ -strand, and coil) and three reference proteins which were predicted  
815 accurately by this basis set (alkaline phosphatase RMSD: 0.61 kMRE, met-myoglobin  
816 RMSD: 1.77 kMRE, and prealbumin RMD: 2.38 kMRE). We systematically altered the  
817 secondary structure information of these reference proteins to see how the RMSD of the  
818 resulting calculated spectrum is affected. Our results in Fig. 7A show an almost perfect linear  
819 dependence between the RMSD of the calculated spectrum and the deviation from the ideal  
820 secondary structure composition, with slightly different slopes ( $m$ ) for  $\alpha$ -helix to coil (A->C),  
821  $\alpha$ -helix to  $\beta$ -strand (A->B) and  $\beta$ -strand to coil (B->C) deviations. The ideal secondary  
822 structure composition in this context is the composition with the lowest RMSD from the  
823 experimental spectrum, which was identical to the secondary structure composition of the  
824 crystal structure in the case of alkaline phosphatase. For met-myoglobin and prealbumin, the  
825 ideal structure composition was a slightly altered secondary structure composition (A->C -  
826 4 %, and B->C +8 %, respectively).

827         The Table in Fig. 7 shows the expected error in the secondary structure composition  
828 of our model structure at a given RMSD between the calculated and experimental spectra.  
829 For example, if we obtained a calculated spectrum which differs from the experimental CD  
830 spectrum by 0.6 kMRE units, the secondary structure composition of our model should be  
831 within 2.5% of the true secondary structure composition. If the protein does not contain  $\beta$ -  
832 strands, however, the real composition should be within 2%, since the RMSD is more  
833 sensitive to A->C deviations. Applying the same calculations to the prediction accuracy of  
834 our best basis sets (RMSD  $\sim$ 3.1 kMRE), we can claim that the secondary structure  
835 composition of crystal structures of the cross-validation set is within 10 – 15 % from the  
836 secondary structure that best describes the CD spectrum (depending on the particular  
837 protein).

838 Using the same principles enabled us to assess the quality of the crystal structures of  
839 the SP175 proteins as models to predict the CD spectrum.. The RMSD distribution of CD  
840 spectra predicted by the DS-dT basis set for all proteins in the reference set is shown in Fig.  
841 7B. We found two reference proteins with an RMSD less than 1.2 kMRE, which would mean  
842 an excellent agreement with the CD spectrum, and less than 5 % deviation in the secondary  
843 structure composition ( $\Delta$ SS). There were 14 proteins in the SP175 set with a good agreement  
844 between the CD spectrum and crystal structure (RMSD: 1.2 - 2.4 kMRE,  $\Delta$ SS less than  
845 10 %), 27 proteins with average agreement (RMSD: 2.4 - 3.6 kMRE,  $\Delta$ SS less than 15 %), 11  
846 proteins with poor agreement (RMSD: 3.6 - 4.8 kMRE,  $\Delta$ SS less than 20 %), and 17 proteins  
847 with very poor agreement (RMSD: larger than 4.8 kMRE and  $\Delta$ SS likely more than 20 %).

848 The presence of 17 proteins with quite large RMSDs suggests that either the  
849 secondary structure composition of these proteins change significantly upon crystallization,  
850 or that additional factors affect the CD spectra of the reference proteins. In the next sections,  
851 we investigate several potential sources of such deviations, in order to identify potential  
852 routes for improving the accuracy of CD spectrum calculations.

## 853 **4.6 Estimating the accuracy from solution structures**

854 The analysis presented in Section 4.5 shows that even for proteins whose CD spectrum was  
855 predicted very accurately from their crystal structure, the secondary structure composition  
856 obtained from the structure did not necessarily provide an optimal description of the CD  
857 spectrum. So far in our study, we assumed that the crystal structure accurately reflects the  
858 protein structure under CD measurement conditions. This is of course not necessarily true, as  
859 the crystal structure typically reflects the minimum-energy conformation of the protein at low  
860 temperatures (~70 K), while the CD spectrum is usually measured near room temperature  
861 (~300 K) in aqueous solution, where larger fluctuations and structural heterogeneity are  
862 expected. This difference in structure and dynamics will likely result in differences of the

863 average secondary structure composition and contribute to the RMSD between the measured  
864 and predicted CD spectra in our protein sets. In this section, we will estimate the difference  
865 between the average crystal and solution structures of our reference proteins, as well as its  
866 impact on the average accuracy of CD spectrum predictions.

867         A straightforward way to address the above mentioned problem would be to  
868 determine the solution structure of proteins using an independent method (such as NMR), and  
869 compare their secondary structure composition to those obtained from crystal structures.  
870 However, NMR solution structures are not available for most of the reference proteins used  
871 in this study. Therefore, we estimated the secondary structure compositions of the average  
872 solution structure from the CD spectrum of the reference proteins by using the well-  
873 established spectrum deconvolution method BestSel [11]. This algorithm was also trained on  
874 the SP175 protein set and provides detailed secondary structure predictions with eight  
875 structural elements (details in Section 3.3) with a particular focus on the structure of  $\beta$ -sheets.  
876 The secondary structure composition of the crystal structures were obtained by HbSS\_ext  
877 classification method (described in Section 3.2), because it shares the detailed  $\beta$ -sheet  
878 classification with BestSel, based on the parity and local twist of the  $\beta$ -strands.

879         We obtained the secondary structure composition from both methods for the proteins  
880 of the SP175 reference set, as well as the TS8 cross-validation set, then computed and  
881 compared the average compositions to quantify the differences. Compared to the crystal  
882 structures, the estimated secondary structure composition of the solution structures showed  
883 lower average  $\alpha$ -helix content (-4.9% for SP175 and -7.7 % for TS8) and a higher  $\beta$ -strand  
884 content (+7.7 % for SP175 and 7.9 % for TS8) for both data sets. These average differences  
885 in the secondary structure composition would translate to an average RMSD of up to 2.0  
886 kMRE units according to sensitivity of SESCOA predictions shown in Fig. 7. This is more than  
887 half of the 3.6 kMRE average deviation of the predicted CD spectra based on the optimized

888 SESCA basis sets, suggesting that the difference between solution and crystal structures is  
889 one of the major sources of error for SESCA predictions.

890 To provide a more direct comparison to the spectrum prediction methods discussed in  
891 this study, we used eq. 5 to derive a specialized SESCA basis set (BestSel\_der) that  
892 reconstructed the CD spectra from the BestSel secondary structure compositions. This basis  
893 set indeed yielded good fits ( $\text{RMSD}_{\text{ref}}$  2.931 kMRE) to the SP175 spectra, and even better  
894 ones to the TS8 spectra ( $\text{RMSD}_{\text{cross}}$  1.828 kMRE). Next, we compared the average RMSD of  
895 the CD spectra predicted by the BestSel\_der basis set with the accuracy of hard SESCA basis  
896 sets listed in Table S8. The HBSS-3 basis set was the most accurate from those based on the  
897 HbSS\_ext algorithm ( $\text{RMSD}_{\text{ref}}$  3.754 kMRE and  $\text{RMSD}_{\text{cross}}$  3.288 kMRE), its fitting and  
898 prediction accuracies are 0.8 and 1.5 kMRE units worse than what BestSel\_der achieved on  
899 the same proteins. The difference between the average accuracy of the BestSel\_der and  
900 HBSS-3 is smaller than expected for the proteins of SP175 reference set. However,  
901 BestSel\_der reconstructed most of the SP175 spectra more accurately, except for seven  
902 proteins with exceptionally large RMSDs between their measured and calculated CD spectra.  
903 These proteins were also poorly predicted by the HBSS-3 algorithm, but their presence  
904 reduced the average difference between the  $\text{RMSD}_{\text{ref}}$  of the two basis sets. The improved  
905 accuracy for the rest of reference proteins agrees well with the estimated difference in the  
906 average secondary structure composition between the solution and crystal structures of the  
907 data sets, and thus confirms its impact on the accuracy of SESCA predictions.

908 Interestingly, the basis spectrum of the right-handed anti-parallel  $\beta$ -strand secondary  
909 structure element (Anti3 in Fig 6A) in BestSel\_der showed a distinctive negative peak around  
910 195 nm, as is typical for random coil proteins. This secondary structure element was also the  
911 most populated one (10 %) among the  $\beta$ -strand elements in the SP175 reference set, whereas  
912 HbSS\_ext classified only 5 % of the residues as such. The 5 % overestimation of this

913 particular secondary structure element indicates that the difference between the solution and  
914 crystal structures is most likely due to the higher occurrence of unfolded/disordered residues  
915 in solution, rather than due to the larger fraction of  $\beta$ -strands.

916 From the above results we conclude that the secondary structure composition of a  
917 globular protein in aqueous solution may differ by 5 - 10 % from its composition in crystal  
918 structures, and that this difference contributes up to 2.0 kMRE to the RMSD of the CD  
919 spectra predicted from the crystal structures of the proteins in our study. Furthermore, for  
920 several proteins of the SP175 reference set, the CD spectra were predicted with relatively  
921 poor accuracy even from the ideal secondary structure composition. This points to either  
922 problems related to the measured CD spectra of these proteins, or to strong contributions to  
923 the spectrum that cannot be predicted through the secondary structure composition. We will  
924 investigate these possibilities in the following sections.

## 925 **5. Improving the CD prediction accuracy**

926 In section 4, we derived several SESCA basis sets to predict the CD spectra of globular  
927 proteins and determined that their best achieved prediction accuracy is  $3.0 \pm 0.6$  kMRE. In  
928 this section, we focus on whether the prediction accuracy of our basis sets can be improved  
929 by changing the reference protein set. First, we consider how the “hard-to-predict” CD  
930 spectra in our reference set influence the robustness of SESCA predictions. Then, we  
931 determine if replacing crystal structures with structural ensembles can improve the accuracy  
932 of the predicted spectra. Finally, we expand the reference set with a series of short peptides  
933 and include the amino acid composition into the basis set determination process.

### 934 **5.1 Potential measurement errors of the reference set**

935 The RMSD distribution shown in Fig. 7B suggests that the CD spectra of certain proteins in  
936 the SP175 data set are hard to predict based on their respective crystal structure. In this  
937 section we will identify these proteins and assess their effect on the SESCA basis sets. To this

938 end, we calculated a method-independent mean RMSD ( $R_j^{\text{mean}}$ ) for each protein as the  
939 average accuracy of six different prediction methods: four SESCO basis sets (DSSP-1,  
940 HBSS-3, DS5-4, DS-dT) as well as PDB2CD and the BestSel reconstruction basis set  
941 (BestSel\_der). This method-independent  $R_j^{\text{mean}}$  value and the standard deviation ( $\sigma_j$  or  
942 scatter) of the individual RMSDs of the predicted spectra were calculated for the SP175 and  
943 TS8 proteins, and were averaged over the data sets to obtain mean fitting and prediction  
944 accuracies. The method-independent mean RMSD ( $RMSD_{\text{set}}^{\text{mean}}$ ) and scatter ( $\sigma_{\text{set}}^{\text{mean}}$ ) were  
945 similar for the SP175 ( $RMSD_{\text{fit}}^{\text{mean}} = 3.3$  kMRE,  $\sigma_{\text{fit}}^{\text{mean}} = 0.9$  kMRE) and TS8 data sets  
946 ( $RMSD_{\text{cross}}^{\text{mean}} = 3.2$  kMRE,  $\sigma_{\text{cross}}^{\text{mean}} = 1.2$  kMRE). We considered proteins difficult to predict, if  
947 their  $R_j^{\text{mean}}$  value were larger than the mean RMSD and scatter of the TS8 cross-validation  
948 set combined ( $RMSD_{\text{cross}}^{\text{mean}} + \sigma_{\text{cross}}^{\text{mean}} = 4.4$  kMRE).

949 Figure 8A shows  $R_j^{\text{mean}}$  of the calculated spectra for each of the 71 proteins of the  
950 SP175 data set. As can be seen, 12 proteins (annotated in grey) show marked deviations from  
951 the mean prediction accuracy and, hence, were classified as difficult to predict based on their  
952 secondary structure. Closer inspection of these 12 proteins (average  $R_j^{\text{mean}} \sim 6.0$  kMRE)  
953 shows that in many cases the peak positions and relative peak heights were similar, but the  
954 absolute intensity of the experimental spectra differed significantly from that of the calculated  
955 spectra.

956 Therefore, we applied scaling factors to the experimental spectra of all 12 proteins  
957 which minimize the deviation from the calculated spectra. Indeed, as can be seen from Fig.  
958 8B, for eight proteins (marked, magenta) scaling factors between 0.3 and 1.5 improved the  
959 agreement with the calculated spectrum on average to 3.1 kMRE units. The largest  
960 improvement (more than 12 kMRE) was observed for Subtilisin Carlsberg (SP175/67) shown  
961 in Fig. 8C. For the other five hard-to-predict proteins, such as Jacalin (SP175/41) shown in  
962 Fig. 8D, the shape of experimental and calculated spectra differed significantly and a simple

963 scaling factor did not yield a good agreement between the two. In addition, when we applied  
964 the same procedure to the TS8 data set, we found that Hemerythrin (TS8/1) was also difficult  
965 to predict ( $R_j^{\text{mean}} = 6.4$  kMRE with  $\sigma_j = 1.7$  kMRE), but a scaling factor of 1.3 greatly  
966 improved the RMSD of its predicted spectra (to  $R_j^{\text{mean}} = 3.3$  kMRE with  $\sigma_j = 0.6$  kMRE).

967 To assess how much these outlier proteins affect the accuracy of our CD spectrum  
968 calculations, we removed them from the SP175 data set and recalculated the SESCO basis  
969 sets with the remaining 59 proteins. As shown by the black and dark blue lines in Fig. 9A, the  
970 resulting mean RMSD of the modified reference set improved from 3.3 to 2.7 kMRE units,  
971 whereas the mean prediction accuracy of the basis sets shown in Fig. 9B was reduced slightly  
972 (by 0.03 kMRE) due to changes in the basis spectra of rarely occurring secondary structure  
973 classes. These results demonstrate that the prediction accuracy of our basis sets is robust with  
974 respect to the presence of the hard-to-predict proteins, although the shape of some basis  
975 spectra is sensitive to the changes in the reference set, especially if the average occurrence of  
976 its structural elements is below 1%.

977 Because the above results suggest that inaccurate normalization of the experimental  
978 spectra may generally limit the accuracy of our CD spectrum calculations, we also applied  
979 scaling factors to the experimental spectra of all proteins in the SP175 and TS8 data sets. As  
980 expected and shown in Fig. 9 (light blue lines), the mean RMSDs improved markedly for  
981 both data sets, from 3.3 to 2.2 and from 3.4 to 2.5 kMRE units, respectively.

982 These observations suggest that the main source of the normalization problems is the  
983 inaccurately determined soluble protein concentration during the CD measurements. Protein  
984 precipitation and aggregation may both affect the soluble protein concentrations in the  
985 measurement cell, which are difficult to account for experimentally. If the applied scaling  
986 factors indeed indicate errors of the assumed soluble protein concentrations, it would usually

987 translate to errors up to  $\pm 30$  % between the assumed and actual protein concentrations, with a  
988 few exceptions as large as 60 % within the SP175 data set.

## 989 **5.2 The impact of conformational flexibility on model quality**

990 As discussed in Section 4.6, the crystal structure of a protein may differ from its solution  
991 structure both in terms of average structure as well as structure fluctuations and  
992 heterogeneity. We also proposed that these effects may alter the average secondary structure  
993 composition of proteins, and that therefore, the neglect of these fluctuations in our models  
994 reduced the accuracy of our CD spectrum predictions. In this section we test this possibility  
995 by analysing how conformational flexibility affects the average secondary structure of a  
996 model protein and the accuracy of predicted macroscopic observables such as CD spectra and  
997 NMR chemical shifts.

998 To this aim, we chose a highly flexible protein complex formed by the two disordered  
999 protein domains P53-AD2 and CBP-NCBD. These domains form an ordered complex for  
1000 which we obtained three structural models that all describe average structure, but differ in the  
1001 level of the conformational flexibility. The models are based on the P53/CBP complex  
1002 structure determined by NMR spectroscopy and deposited in the protein databank by Lee *et*  
1003 *al.* (PDB code 2L14). This model contained a bundle of 20 protein conformations, which  
1004 fulfil the NMR distance restraints in an aqueous solution. For all these structure models, we  
1005 calculated average secondary structure, CD spectra, and NMR chemical shifts, and compared  
1006 them to the respective experimental values.

1007 The three structural models of the P53/CBP complex to probe the effect of the  
1008 conformational fluctuations are depicted in Fig. 10A. In an ascending order of conformational  
1009 flexibility, the first model was the first conformation of the NMR bundle, with no explicit  
1010 information on conformational fluctuations. This model mimicked the minimum-energy  
1011 conformation of a crystallographic structure ('Cryst'). The second model was the full NMR

1012 bundle with 20 conformations, which described conformational fluctuation near the  
1013 minimum-energy structure. The third model was a structural ensemble of 1000  
1014 conformations, obtained from a molecular dynamics (MD) simulation described in Section  
1015 3.1. The MD ensemble explored the conformational dynamics and fluctuations of the system  
1016 further away from the average, to describe the average protein structure in an aqueous  
1017 solution at room temperature.

1018         First, we analysed the differences in the secondary structure composition of the three  
1019 models. A summary over secondary structure composition of each structural model is shown  
1020 below their cartoon representation in Fig. 10A. As the figure shows, the model Cryst was the  
1021 most structured of the NMR conformations and 49 % of its residues were  $\alpha$ -helical. In the  
1022 case of the NMR model the termini of domains were more flexible, which lead to a slightly  
1023 lower average helix content of 47 %. Although no  $\beta$ -sheets appeared in these models, a low  
1024 percentage amino acids adopted a local conformation typical for an extended  $\beta$ -strand at the  
1025 termini of the two protein domains.

1026         The P53/CBP complex was very dynamic during the MD simulations. The two  
1027 domains remained strongly bound during the simulation, but the conformational fluctuations  
1028 resulted in a 38 % average helix content. In addition, while total  $\beta$ -strand content decreased  
1029 slightly in the MD model compared to the NMR bundle, 2.8 % of the residues in the MD  
1030 model was in a regular  $\beta$ -strand conformation, and established the hydrogen bonds to form  
1031 two short  $\beta$ -sheets which appeared with ~15 % probability in the MD ensemble. These short  
1032  $\beta$  sheets connected the N-terminus of CBP-NCBD with residues 25-27 of P53-AD2, and the  
1033 two termini P53-AD2.

1034         In line with our expectations, the added conformational flexibility of the MD ensemble  
1035 indeed changed the average secondary structure composition of the P53/CBP complex by up  
1036 to 15 % compared to the Cryst model it was started from. To show that these changes

1037 improved the quality of the structure model, we predicted the CD spectrum from all three  
1038 models using several optimized SESCO basis sets (DSSP-1, DS5-4, and DS-dT), and  
1039 compared them with a high-quality synchrotron radiation CD spectrum of the P53/CBP  
1040 complex.

1041 Figure 10B shows a comparison between the measured CD spectrum of the P5/CBP  
1042 complex, and the CD spectra which were predicted from the three structural models by the  
1043 DSSP-1 basis set. The lower average helix content in the MD ensemble was also reflected in  
1044 the predicted CD spectra of this model (red line in Fig. 10B), as it shows a less pronounced  
1045 positive peak at 192 nm, typical for  $\alpha$ -helical proteins. Comparison of the spectra shows that  
1046 this decreased helix content of the MD ensemble agrees better with the recorded CD  
1047 spectrum (RMSD: 3.1 kMRE), than either the original NMR bundle (RMSD: 5.4 kMRE) or  
1048 the single-conformation model (RMSD: 6.0 kMRE). The RMSD values clearly show that the  
1049 Cryst and NMR models are rather poor representations of the secondary structure, whilst the  
1050 MD ensemble reflects the average structure composition much better. However, the RMSD  
1051 of its predicted spectrum is still not better than that of the average globular protein model  
1052 with no conformational flexibility ( $3.0 \pm 0.6$  kMRE). We speculate that this relatively large  
1053 RMSD of MD model is due to the missing slower conformational dynamics of the protein.  
1054 These conformation fluctuations may decrease the average helix content further, but are not  
1055 captured during a 10  $\mu$ s long simulation trajectory. This speculation is also in line with the  
1056 ideal secondary structure composition estimated by BestSel based on the measured CD  
1057 spectrum, which predicted a 29 % average helix content.

1058 To avoid possible biases from inaccurate normalization, we also applied scaling  
1059 factors to fit the intensity of the experimental spectrum to each of the predicted spectra. The  
1060 scaling factors (1.519, 1.463, and 1.244 for Cryst, NMR and MD, respectively) highlight the  
1061 differences between the shapes of the predicted spectra, but did not change their RMSD

1062 order. The MD ensemble reproduced the scaled experimental spectrum most accurately  
1063 (RMSD: 2.4 kMRE), followed by NMR bundle (RMSD: 3.9 kMRE), and the single-  
1064 conformation model (RMSD: 4.2 kMRE). Similar trends were obtained, when the CD spectra  
1065 were predicted using other optimized SESCO basis sets - such as DS5-4 and DS-dT - as well,  
1066 underlining the conclusion that the most flexible MD ensemble is best in line with the CD  
1067 spectrum.

1068 From this trend we conclude that the use of structural ensembles to include protein  
1069 conformational flexibility improves the accuracy of our CD spectrum calculations for the  
1070 P53/CBP complex substantially (by ~3.0 kMRE). This protein complex was chosen because  
1071 dynamics was expected to be important for its average structure, and consequently the impact  
1072 of conformational flexibility on typically less flexible globular proteins is likely to be smaller  
1073 (between 1.0 and 2.0 kMRE), but still significant.

1074 To assess whether or not inclusion of conformational flexibility generally improves  
1075 not only the accuracy of the calculated CD spectra, but also the quality of the structure model,  
1076 we compared our structural models to the experimental chemical shifts from the original  
1077 NMR measurements (obtained from biological magnetic resonance databank, entry no.  
1078 17073). We computed the backbone chemical shifts (including those for the N, C $_{\alpha}$ , C $_{\beta}$ , C, H $_N$ ,  
1079 and H $_{\alpha}$  atoms) for the three models using the chemical shift predictor Sparta+ [34]. Figure  
1080 10C shows the comparison between the experimental and calculated C $_{\alpha}$  secondary chemical  
1081 shifts. Secondary chemical shift values are corrected for the average random coil chemical  
1082 shift of the amino acid, and therefore indicative of the local protein (secondary) structure. A  
1083 sequence of large positive secondary C $_{\alpha}$  shifts indicates a high propensity for  $\alpha$ -helix in that  
1084 region, whilst a sequence of large negative values shows a preference towards  $\beta$ -strands. The  
1085 overall agreement between the measured and predicted chemical shifts was quantified the  
1086 through average RMSD of their secondary chemical shift profiles.

1087           The comparison in Fig. 10C also revealed that the RMSD of the MD ensemble  
1088 chemical shift (1.057 ppm) was lower than that of the NMR bundle (1.385 ppm) or the  
1089 single-conformation model (1.419 ppm). This trend is expected, and is also in line with  
1090 RMSD of the predicted CD spectra. The same trends were observed for the average RMSD of  
1091 all backbone chemical shifts as well, which again suggests that our conclusions about the  
1092 effects of conformational flexibility are robust.

1093           The chemical shifts also provide information on where the secondary structure  
1094 elements are located along the protein sequence. The  $C_{\alpha}$  chemical shifts predicted from our  
1095 models agree well with the experimental chemical shifts on the position of the helical  
1096 regions, but significantly overestimate the helix propensities, especially for the C-terminal  
1097 helix of CBP-NCBD, and the helical regions in P53-AD2. These regions are also the ones  
1098 where the average secondary structure composition is considerably less helical in the MD  
1099 ensemble than the other two models. Additionally, the residues of the short  $\beta$ -sheets observed  
1100 only in the MD model possess some of the largest negative  $C_{\alpha}$  secondary chemical shifts of  
1101 the experimental profile, suggesting that presence of these  $\beta$ -sheets also contribute to the  
1102 lower average RMSD of the MD model.

1103           In summary, both the predicted CD spectra and chemical shifts suggested a clear  
1104 trend: the MD ensemble model which includes conformation dynamics in aqueous solutions  
1105 most accurately reproduced all considered experimental observables. In contrast, the crystal  
1106 model, which ignores structure fluctuations, is the least accurate. The example of the  
1107 P53/CBP complex presented above strongly supports our previous conclusions, that including  
1108 conformational flexibility improves our structural models, which in turn allow more accurate  
1109 predictions of CD spectra as well as other experimental observables (such as NMR chemical  
1110 shifts).

### 1111 **5.3 Side chain CD spectrum calculations**

1112 Comparison of the best achievable prediction accuracy (Section 4.1) with the much lower  
1113 accuracy achievable based solely on the secondary structure composition (Section 4.2)  
1114 suggests that including additional information should improve the CD spectrum calculations.  
1115 Amino acid side chain groups are the second most common type of chromophores in  
1116 proteins. Side chain contributions are also considered as optional corrections in DichroCalc,  
1117 and some deconvolution basis sets have side chain related basis spectra [5]. Here, we will  
1118 therefore attempt to determine the contribution of side chain groups to the protein CD spectra  
1119 in the far-UV range, and include those contributions into the SESCOA scheme to improve the  
1120 prediction accuracy of our method.

1121 To determine how much the side chains contribute to the CD spectra of the SP175  
1122 reference set, we analysed the correlations between the principal components describing the  
1123 shape of the CD spectra (see Section 2.6) and the occurrence of amino acids and secondary  
1124 structure elements in the reference proteins. To this aim, we calculated the Pearson  
1125 correlation coefficients between the projections of the first ten PC vectors (details in Section  
1126 3.5), the amino acid composition of the proteins, as well as the secondary structure  
1127 compositions determined by the BestSel, DISICL, DSSP and HBSS algorithms.

1128 Table 1 shows those structural properties which correlate most strongly with the  
1129 principal components (PCs) of the CD spectra. As can be seen, the first three principal  
1130 components involve mainly secondary structure elements: PC 1 – which accounts for over  
1131 80 % of the spectral variance of the reference set – was very strongly correlated ( $R_{\text{pearson}}$   
1132  $\sim 0.9$ ) to the presence of  $\alpha$ -helices in the protein structure, whilst PC 2 and 3 are moderately  
1133 correlated to  $\beta$ -strand and turn structures. However, PCs 4, 6, 9, and 10 correlate more  
1134 strongly with the presence of amino acids than secondary structure elements. Since these  
1135 principal components describe  $\sim 3$  % of the spectral variance, one would expect a somewhat

1136 smaller but still notable contribution from side chain groups. In addition, the most commonly  
1137 considered correction to CD spectra are associated with the aromatic side chains of  
1138 tryptophan, phenyl-alanine, and tyrosine because these amino acids have the strongest CD  
1139 signals in isolation. Our analysis also suggests that amino acid side chains with weaker CD  
1140 activity, particularly arginine, histidine, cysteine and serine, may also contribute significantly  
1141 to the CD spectra.

1142 To also include the amino acid side chains into our SESCOA predictions, we assumed  
1143 that their average contribution is not strongly affected by couplings to the local structure of  
1144 the protein backbone, or by the adjacent side chains. This assumption allowed us to assign  
1145 one SESCOA basis spectrum to each side chain, and to determine the average contribution of  
1146 side chains from the amino acid composition of the protein sequence.

1147 Our first attempt was to use measured CD spectra of isolated natural amino acids to  
1148 estimate the contribution of amino acid side chains. The amino acid CD spectra (except for  
1149 glycine) were measured by Nisihno *et al* [35]. at neutral, acidic and basic *pH*. We used the  
1150 CD spectra at neutral *pH* (7.0) shown in Fig. 11A as a basis set to calculate side chain  
1151 dependent baseline corrections similarly to eq. 1, with weighing coefficients for the basis  
1152 spectra proportional to the fraction of amino acids in the protein sequence. The calculated  
1153 baselines were then subtracted from the CD spectra of proteins in the SP175 and TS8 data  
1154 sets, and the side-chain corrected data sets were used to derive and cross-validate basis sets  
1155 based on the “pure” secondary structure contributions. This procedure, however, resulted in  
1156 basis sets with lower prediction accuracies in all cases, when they were compared to non-  
1157 corrected basis sets with the same assignment. This observation suggests that the average  
1158 contribution of side chain groups may differ significantly from the CD signal of isolated  
1159 amino acid when they are attached to a polypeptide chain in a protein.

1160 To test this hypothesis, and to obtain improved side chain signals more representative  
1161 for a polypeptide environment, we prepared a new reference set of twenty short tri-peptides  
1162 (designated as the GXG20 set), each consisting of the same capped backbone, and one of  
1163 twenty side chain groups ('X') of the natural amino acids.

1164 As shown in Fig. S19, the CD spectra of the GXG20 peptide set differ substantially  
1165 from one another, despite the fact that the peptides were too short to form the hydrogen bonds  
1166 required for stable  $\alpha$ -helices and  $\beta$ -sheets, and therefore mostly adopted a random coil  
1167 structure. We therefore assumed that the spectra of these peptides are largely defined by their  
1168 side chain group, and although the spectra differed considerably from the CD spectra shown  
1169 in Fig. 11A, the influence of the phenyl-alanine tyrosine, tryptophan, and histidine side  
1170 chains is indeed remarkably strong in both cases. The GXG20 spectra indicate that aromatic  
1171 side groups – and particularly phenyl-alanine and tyrosine – have strong positive  
1172 contributions to the CD spectra, which differs from the signals of other side chains. The CD  
1173 spectrum of the GAG peptide, on the other hand, shows the largest negative peak at ~195 nm,  
1174 similar to CD signal that is associated with a random coil protein, whereas the CD signal of  
1175 the GGG peptide – in the absence of a chirality centre – is very weak.

1176 We derived the average contribution of side chain groups to the CD signal of proteins  
1177 as described in Section 3.6 from a new mixed reference set (MP79), which included 59  
1178 globular proteins of the SP175 reference set and the 20 tri-peptides of the GXG20 set. The  
1179 resulting “pure” side chain basis spectra shown in Fig. 11B are very similar for the same  
1180 amino acid regardless which secondary structure basis set was used to derive them. The pure  
1181 basis spectra are significantly larger than the CD spectra of the independent amino acids (Fig  
1182 11A), and confirm the large contributions of the phenyl-alanine and tyrosine side chains. In  
1183 addition, the basis spectra show moderate contributions from the amino acid side groups of  
1184 asparagine, aspartate, glutamate, histidine, leucine, serine, and tryptophan, while the side

1185 chains of other amino acids such as glycine, valine, isoleucine and threonine had weaker CD  
1186 signals.

1187 Finally, we quantified the effects of the derived side chain contributions on the  
1188 prediction accuracy of SESCO basis sets. Using the derived side chain contributions as our  
1189 basis set, the side chain dependent baselines were calculated once again and subtracted from  
1190 CD spectra of the SP175 and TS8 data sets. Then, the basis spectra of our optimized basis  
1191 sets were recalculated and the accuracy of the basis sets were cross-validated using the side-  
1192 chain corrected CD spectra. Including the side chain contributions of the twenty amino acids  
1193 now resulted in small improvement in the prediction accuracy ( $\text{RMSD}_{\text{cross}}$ ) on the order of  
1194  $\sim 0.05$  kMRE units, compared to the secondary-structure-only basis sets. This improvement is  
1195 almost an order of magnitude smaller than expected, based on our correlation analysis. This  
1196 result is particularly surprising in the light of the large contributions of the individual amino  
1197 acid side chains to the protein CD spectra. In the following section we will therefore ask if  
1198 and how the contributions of side chains to the CD spectra can be described even more  
1199 accurately.

## 1200 **5.4 Combining side chain and backbone contribution**

1201 To that aim we hypothesized that one of the reasons for the limited success might be over-  
1202 fitting. Indeed, we used twenty independent basis spectra to describe the contribution of side  
1203 chain groups to the protein CD spectra, whilst the PCA analysis (Section 5.3) showed that  
1204 already four basis spectra represent these 20 contributions quite accurately. To avoid such  
1205 over-fitting, we applied optimization schemes to obtain basis spectra for both the secondary  
1206 structure of the protein backbone and side-chain contributions, and then combined them in an  
1207 optimal “mixed” basis set.

1208 To this aim, we used the hard optimization scheme in a three-stage process (described  
1209 in Section 3.6) to reduce the number of required basis spectra and – hopefully – to improve

1210 the prediction accuracy. In this protocol, the side chain basis spectra were optimized first,  
1211 followed by an independent optimization of secondary structure-based backbone basis spectra  
1212 (including the secondary structure assignments). The resulting optimized basis sets (examples  
1213 shown in Figs. S20-S23) typically included 3 - 6 backbone basis spectra and 4 - 7 side chain  
1214 basis spectra, with one or two basis spectra representing the positive CD signals of the  
1215 aromatic residues.

1216 Figure 12A compares the average RMSDs achieved by optimized basis sets with and  
1217 without side chain contributions. The comparison shows small improvements ( $>0.2$  kMRE)  
1218 in the quality of the calculated spectra for both the cross-validation (TS8) and the globular  
1219 reference (SP175) proteins. This improvement persisted when both side-chain corrections and  
1220 scaling (described in section 5.1) were applied, further reducing  $\text{RMSD}_{\text{set}}$  for cross-validation  
1221 proteins from 2.6 kMRE to 2.4 kMRE units. The relatively small influence of the side groups  
1222 is now more in line with the PCA analysis of the SP175 spectra (Fig. 4 and Table 1), which  
1223 suggests that over 95% of the spectral variance is mainly associated with the backbone  
1224 secondary structure. On the other hand, the  $\text{RMSD}_{\text{set}}$  calculated for the GXG20 peptides  
1225 shows significant improvements from side chain corrections (from  $> 5.5$  kMRE to  $< 3.5$   
1226 kMRE), because their CD spectrum is largely defined by the side chain signals.

1227 Figures 12B and 12C show the backbone and side chain basis spectra of an optimized  
1228 basis set (DSSP-dT1SC), respectively. Clearly, the strength of the CD signals is comparable  
1229 between the basis spectra of side chain groups and secondary structure elements. This  
1230 observation is again unexpected, as the influence of backbone basis spectra on the accuracy  
1231 of CD spectrum predictions is twentyfold larger. To explain the smaller impact of the side  
1232 chain basis spectra on globular proteins, we calculated the total contribution of the side chain  
1233 basis spectra to the calculated CD spectra for each of the SP175 proteins (Fig. 12D). These  
1234 contributions typically vary between -5 and +5 kMRE units, depending on the protein and the

1235 wavelength, thus amounted to approximately one tenth of the total contribution from the  
1236 protein backbone.

1237 Closer analysis revealed mainly three reasons that combine to produce this  
1238 unexpected outcome. First, the side chain basis spectra have opposite signs and therefore  
1239 partially cancel out in the total side-chain contributions. Second, the amino acid compositions  
1240 of the globular proteins in our reference sets are rather similar, which further decrease the  
1241 variance of the already small total contributions. Third, the secondary structure contents  
1242 correlate with the amino acid composition (in our reference set, Pearson correlations  
1243 coefficients between 0.2 and 0.6 were calculated) such that part of the side chain information  
1244 is already encoded within the secondary structure information.

1245 One possible reason for the cancellation of side chain basis spectra may be that the  
1246 side chain contributions strongly depend on their environment, and an averaged side-chain  
1247 signal cannot accurately represent the actual contribution of buried and solvent accessible  
1248 side chains or side chains in different protonation states. Accordingly, one would expect more  
1249 accurate CD spectrum predictions, if the different relevant side chain signals were identified  
1250 and separated from each other. This possibility, however, will not be further explored in this  
1251 study.

1252 As a side note, the correlation between the amino acid composition and the backbone  
1253 secondary structure can be exploited to predict the CD spectrum even in the absence of a  
1254 structural model. Relying on the strong amino acid preferences of the secondary structure  
1255 elements, we used the hard optimization scheme to derive “amino-acid only” basis sets,  
1256 which predict the CD spectra of proteins using only the amino acid composition of their  
1257 sequence. These basis sets (marked by the type “Seq” in Table S8) achieved fitting accuracies  
1258 between 3.9 - 4.7 kMRE units on the SP175 reference proteins and their prediction accuracies  
1259 on the TS8 proteins amounted to 5.1 - 6.2 kMRE depending on the amino acid grouping.

1260 Although the accuracy of structure-based spectrum predictions is better as expected, the  
1261  $\text{RMSD}_{\text{crosss}}$  of sequence-based basis sets shows they retain some predictive power.

1262 The above mentioned three factors combined such that the predictive power of our  
1263 mixed basis sets improved only moderately beyond the accuracy achieved by using  
1264 secondary-structure exclusive basis sets. Of course, the limited impact of side chain  
1265 contributions to CD spectra of globular proteins also underlines the robustness of the  
1266 secondary-structure based SESCOA predictions. Including the side chain corrections will  
1267 certainly be helpful in certain cases, but in our view not essential for the accurate prediction  
1268 of most globular protein CD spectra.

1269 In contrast, the example of the GXG20 peptides also suggests that for small or  
1270 disordered peptides, mixed basis sets – including the side chain contributions – can be pivotal  
1271 for the accurate prediction of their CD spectra. This may be particularly true for proteins with  
1272 unusual amino acid compositions such as the low complexity regions and sequence repeats  
1273 often found in intrinsically disordered proteins. Because disordered proteins rarely form  
1274 stable  $\alpha$ -helices or  $\beta$ -strands, the backbone contributions to their CD spectra are less  
1275 pronounced than for globular proteins. Moreover, most of the amino acid side chains in IDPs  
1276 are solvent accessible and, therefore, their average CD signals may more closely resemble  
1277 those of the GXG20 peptides.

## 1278 **Conclusions**

1279 In this study we presented a new semi-empirical spectrum calculation approach (SESCOA) to  
1280 predict the electronic circular dichroism (CD) spectra of globular proteins from their model  
1281 structures. We derived basis spectrum sets which can be used to predict the CD spectrum of a  
1282 chosen protein from the secondary structure composition determined by various structure  
1283 classification algorithms (including DSSP, DISICL, and HbSS), to render the method more  
1284 versatile and broadly applicable.

1285           The basis spectra were derived and optimized using a reference set consisting of 71  
1286 globular proteins; then the prediction accuracy of the basis sets was determined by cross-  
1287 validation on a second, non-overlapping set of eight selected proteins, covering a broad range  
1288 of secondary structure contents. The experimental CD spectra of these proteins were  
1289 predicted with an average root-mean-squared deviation (RMSD) as small as of  $3.0 \pm 0.6 \times$   
1290  $10^3$  degree-cm<sup>2</sup>/dmol in mean residue ellipticity units or  $0.9 \pm 0.2 \text{ M}^{-1}\text{cm}^{-1}$  in  $\Delta\epsilon$  units. This  
1291 deviation is on average 50 % smaller than what is achieved by the best currently available  
1292 algorithm (PDB2CD average deviation  $\sim 4.7 \times 10^3$  degree-cm<sup>2</sup>/dmol).

1293           Our analysis of the optimized basis sets have shown that the accuracy of the CD  
1294 predictions does not depend strongly on the underlying secondary structure classification  
1295 method. In contrast, is strongly dependent on the number basis spectra in the basis set. Our  
1296 results suggest that 3 - 8 basis spectra which describe the backbone structure of the protein  
1297 provide the optimal trade-off between model complexity and possible over-fitting to our  
1298 reference data, and thus allow the most accurate prediction of the protein CD spectrum.

1299           We attempted to further improve the accuracy of SESCOA predictions by including  
1300 basis spectra into our basis sets which reflect the average contribution amino acid side chain  
1301 groups. Unexpectedly, for globular proteins the inclusion of side chain information did not  
1302 markedly improve the accuracy of the predicted CD spectra. This finding is particularly  
1303 surprising because the side chain CD signals, in the context of the proteins and peptides  
1304 investigated, were significantly larger than the CD spectra of the isolated amino acids.  
1305 Apparently, prediction methods based purely on the secondary structure are rather robust  
1306 against the variation of side chain contributions, due to the cancellation of side chain signals,  
1307 similarity of the amino acid composition, and correlations between the presence of amino  
1308 acids and the structure of the protein backbone. In summary, although side chain  
1309 contributions can be neglected for the CD calculation of the typical globular protein, we

1310 expect markedly improve the spectrum prediction accuracy for short peptides, and possibly  
1311 disordered proteins. For these molecules the inclusion of 4 - 7 side chain basis spectra may  
1312 provide the optimum of spectrum prediction accuracy.

1313         Analysis of deviations between calculated and experimental spectra of the reference  
1314 proteins showed that ~15 % of the predicted globular protein CD spectra agree rather poorly  
1315 with the measured spectra. The main source of these deviations seems to be the uncertainty  
1316 in the intensity of the experimental CD signal, most likely due to the often challenging  
1317 concentration-dependent normalization of the CD spectra. By scaling the experimental CD  
1318 spectra, the average RMSD of both the TS8 cross-validation set and the SP175 reference  
1319 protein sets were reduced to below  $2.6 \times 10^3$  degree-cm<sup>2</sup>/dmol. Although this scaling had a  
1320 large impact on the RMSD of individual "hard-to-predict" proteins, SESCO basis sets turned  
1321 out to be robust to the presence of these proteins in the reference set.

1322         Due to the simple secondary structure calculations and the pre-calculation of basis  
1323 sets, SESCO can be efficiently applied to rather large structural ensembles. This allows us to  
1324 account for the conformational flexibility of a protein when calculating its CD spectrum.  
1325 Indeed, for the test case studied here, including conformational flexibility of the protein, as  
1326 obtained from an extended molecular dynamics trajectory, considerably improved the  
1327 accuracy of the calculated CD spectrum. Whether this encouraging result is true in general is  
1328 an interesting question which will be addressed in a separate study.

1329         By exploiting the high sensitivity of CD spectra to the average secondary structure of  
1330 proteins, SESCO basis sets can be used for evaluating and improving protein structural  
1331 models in biology and biophysics. As our example of the P53/CBP complex demonstrated,  
1332 the accuracy of CD predictions, the inclusion of conformational flexibility, and the robustness  
1333 of the secondary structure based CD predictions enables SESCO basis sets to target not only

1334 the average structures of globular proteins, but also their structural flexibility and  
1335 heterogeneity.

1336 Furthermore, by accounting for both flexibility and side chain contributions, SESCOA  
1337 basis sets may be particularly helpful in modelling intrinsically disordered protein (IDP)  
1338 ensembles, as they can provide information about the transient secondary structure patterns of  
1339 these molecules. These biologically highly relevant molecules are notoriously hard to  
1340 characterize, and also the modelling of IDP ensembles based on experimental input is  
1341 particularly challenging.

1342 A python implementation of our semi-empirical CD calculation method SESCOA, as  
1343 well as basis sets and tools compatible with the secondary structure classification algorithms  
1344 DISICL and DSSP are publicly available online: <http://www.mpibpc.mpg.de/sesca>.

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## 1354 **References**

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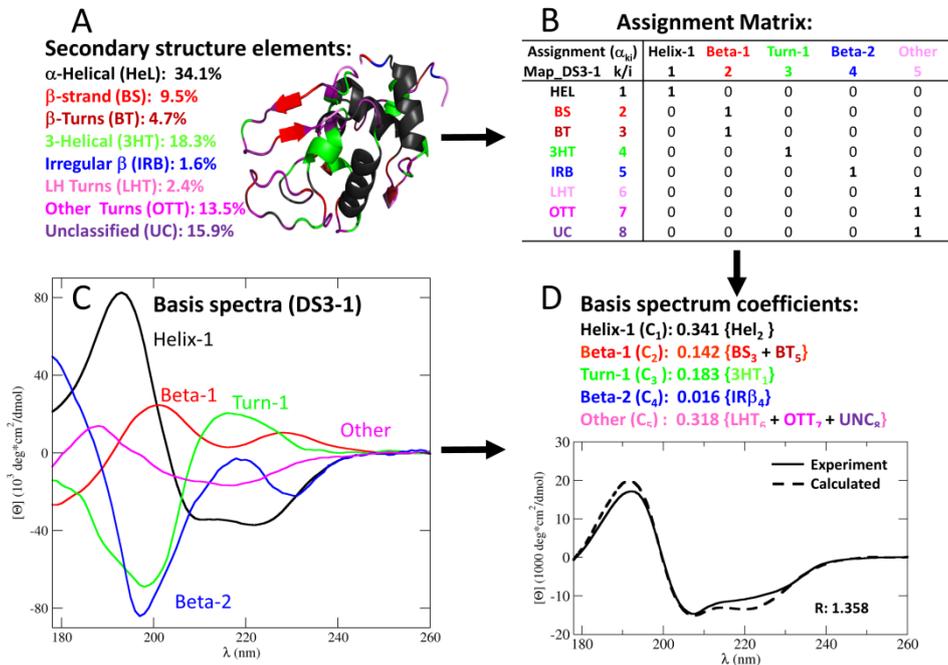
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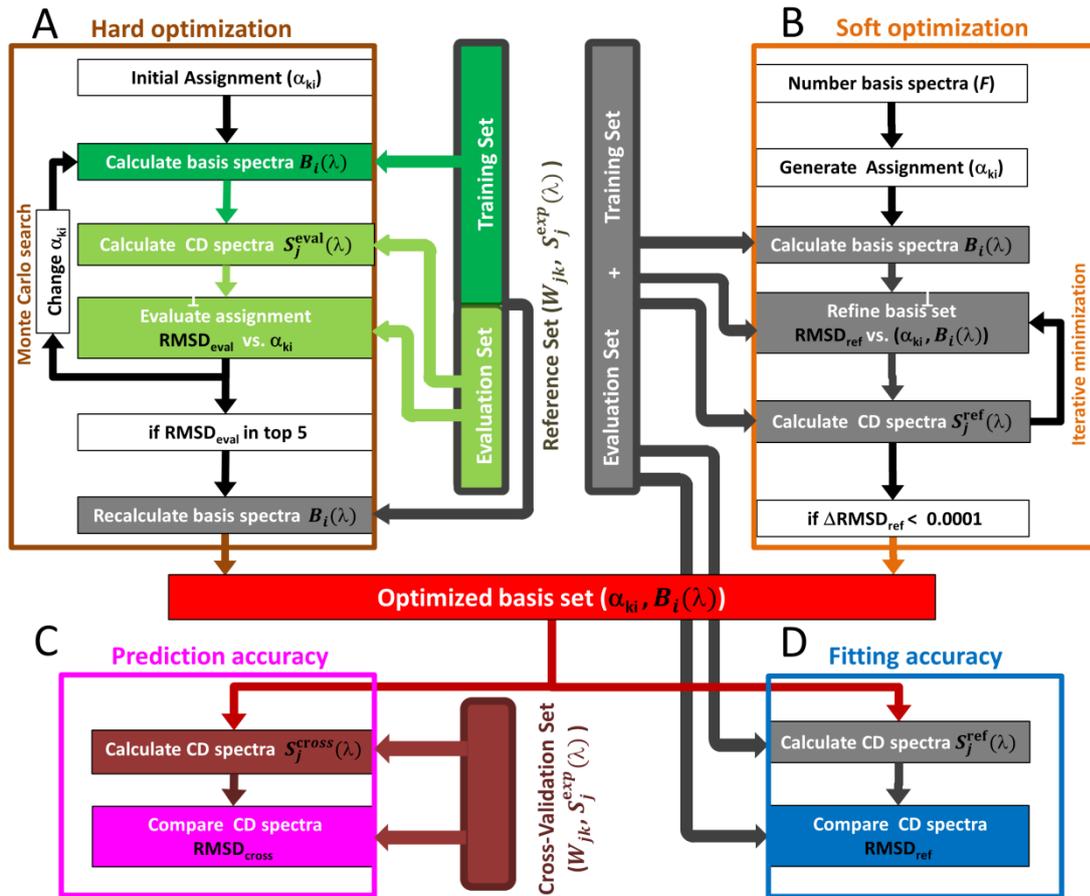
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**Figure 2:** Semi-empirical CD spectrum calculation scheme. Panel A shows the cartoon representation and secondary structure composition of Lysozyme (pdb code: 4lzt), coloured according to the structural elements of the simplified DISICL library. The Secondary structure information is translated into a theoretical CD spectrum by a basis set (Map\_DS3-1), consisting of an assignment matrix (panel B) and a set of basis spectra (panel C). Panel D shows the CD spectrum (dashed line) calculated as the weighted average of basis spectra. The secondary structure composition and assignment matrix determine the basis spectrum coefficients ( $C_i$ , on panel D) for weighing the basis spectra. The deviation between the experimental (solid line in panel D) and calculated (dashed line) CD spectrum (R:) is shown in mean residue ellipticity units ( $10^3$  degree\* $\text{cm}^2/\text{dmol}$ ). The table displays the ID ( $k$ ) and abbreviation of the secondary structure element, the name and ID ( $i$ ) of the basis spectra, and the assignments matrix of structure coefficients ( $\alpha_{ki}$ ) connecting them. The basis spectra are shown as coloured lines in Panel C, and the same colour coding is used in Panel D to display their coefficients.

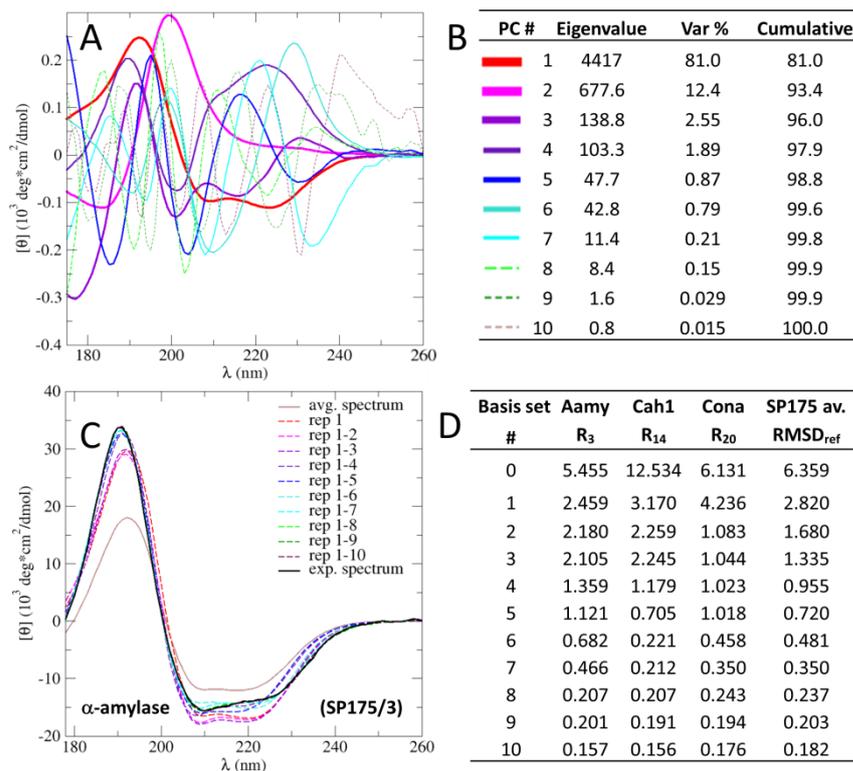
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**Figure 3:** Basis set optimization and assessment schemes. The basis sets (shown in red) are derived and optimized either through the hard or the soft optimization approach, using the same reference set of proteins, including the secondary structure information ( $W_{jk}$ ) and CD spectra ( $S_j^{\text{exp}}(\lambda)$ ) of each protein. During the hard optimization (panel A) the reference set was divided into a training set (dark green) and an evaluation set (light green) to perform an “internal” cross validation during the search for optimal assignments. The undivided reference set (shown as grey boxes and arrows) was used during the soft optimization (panel B) as well as at the end of the hard optimization to calculate basis spectra for the best assignments. The same undivided reference set was used to assess the fitting accuracy (panel D) of the optimized basis set (regardless of the optimization method), where CD spectra calculated from the structural information were compared with the experimental CD spectra of the reference proteins. In contrast, during the assessment of the prediction accuracy (panel C), a different set of proteins (shown in dark red) were used for cross-validating the predictive power of the optimized basis sets.

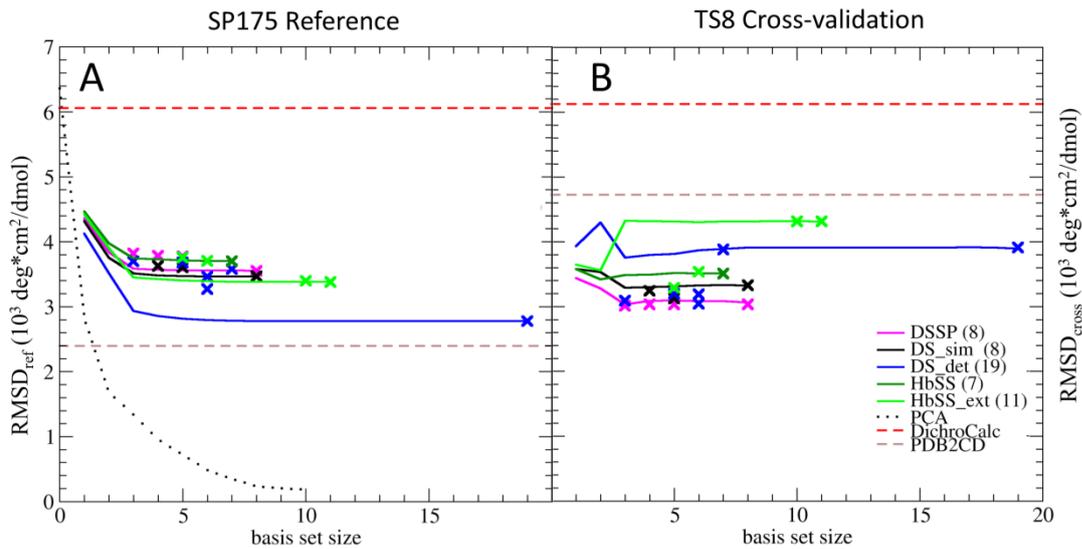
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**Figure 4:** Principal component analysis of the SP175 protein CD spectra. A) graphical representation of the first 10 principal component vectors sorted by their contribution to the spectral variance. B) Eigenvalue, contribution to variance, and cumulative contribution to the spectral variance for the same PC vectors. C) Reconstruction of the CD spectrum of  $\alpha$ -amylase (Aamy) by its projection on the first 0-10 PC vectors. The original spectrum is shown in black, the average spectrum of SP 175 data set is shown in brown. The reconstructed spectra are shown as coloured dashed lines. D) RMSD between the reconstruction of three selected proteins –  $\alpha$ -amylase, carbonic anhydrase I (Cah1), and Concanavalin A (Cona) – and their original CD spectrum as function of PC vectors used. The column SP175 av. shows average RMSD for all 71 proteins in the data set.

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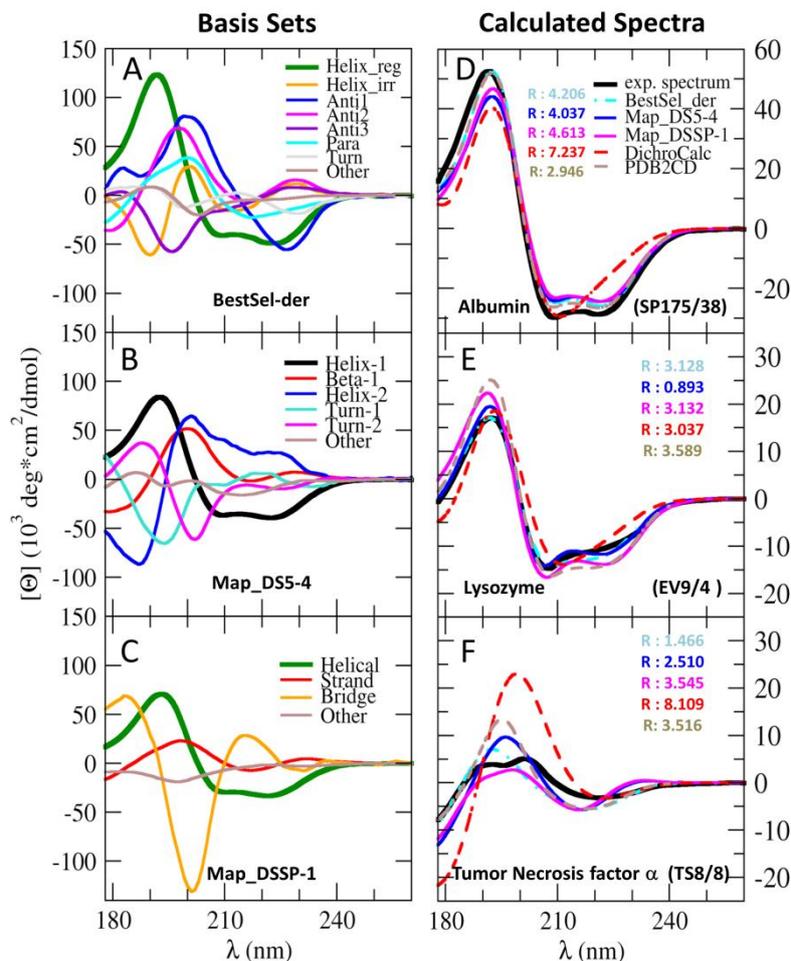
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1520 **Figure 5:** Basis set performance on globular proteins. The panels show the basis set accuracy  
 1521 for A) the reference set for globular proteins (SP175), and B) a small independent set of  
 1522 globular proteins used for cross-validation (TS8). The average deviation between the CD  
 1523 spectra calculated by a basis set and experimental CD spectra (RMSD) is shown as the  
 1524 function of the number of basis spectra in the respective basis set. Series of basis sets derived  
 1525 using the soft basis set optimization approach are shown as solid lines coloured according to  
 1526 the underlying secondary structure classification method. Basis sets derived using the hard  
 1527 optimization approach are shown as crosses also coloured according to the underlying  
 1528 secondary structure classification. The average deviation of published CD prediction  
 1529 algorithms DichroCalc and P2CD are shown as red and brown horizontal dashed lines,  
 1530 respectively. The highest limit of fitting accuracy defined by PCA basis sets is shown as a  
 1531 black dotted line in panel A. The numbers in brackets behind the secondary structure  
 1532 classification methods (DSSP, DS<sub>sim</sub>, DS<sub>det</sub>, HbSS, HbSS<sub>ext</sub>) denote the number  
 1533 structural elements of the classification.

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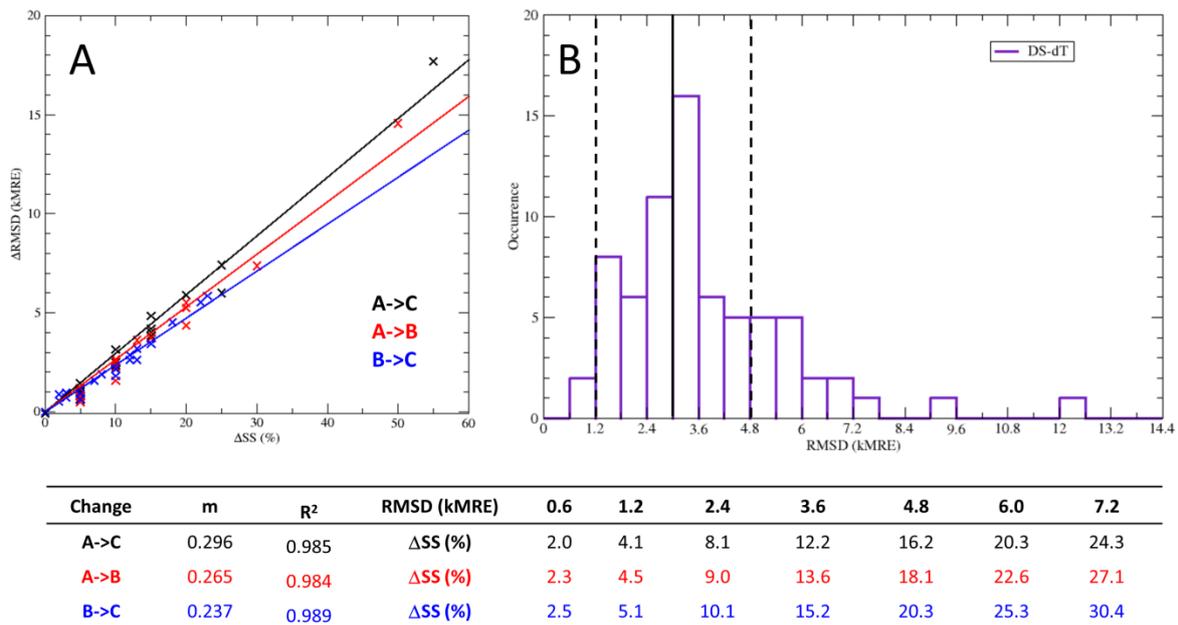
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**Figure 6:** Basis spectrum sets, experimental and calculated CD spectra of selected proteins. The basis spectra of three high-accuracy basis sets with nine, six, and four components is shown in panels A - C, respectively. Panels D - F show the experimental (solid black line) and calculated CD spectra of human serum albumin, lysozyme, and tumor necrosis factor  $\alpha$ , respectively. The accuracy of the CD spectra calculated from these basis sets was compared with spectra from two competing algorithms DichroCalc and PDB2CD. The average RMSD (R:) from the experimental spectrum is displayed in the corresponding colour. All RMSD values are in  $10^3 \text{ deg} \cdot \text{cm}^2 / \text{dmol}$  (kMRE) units.

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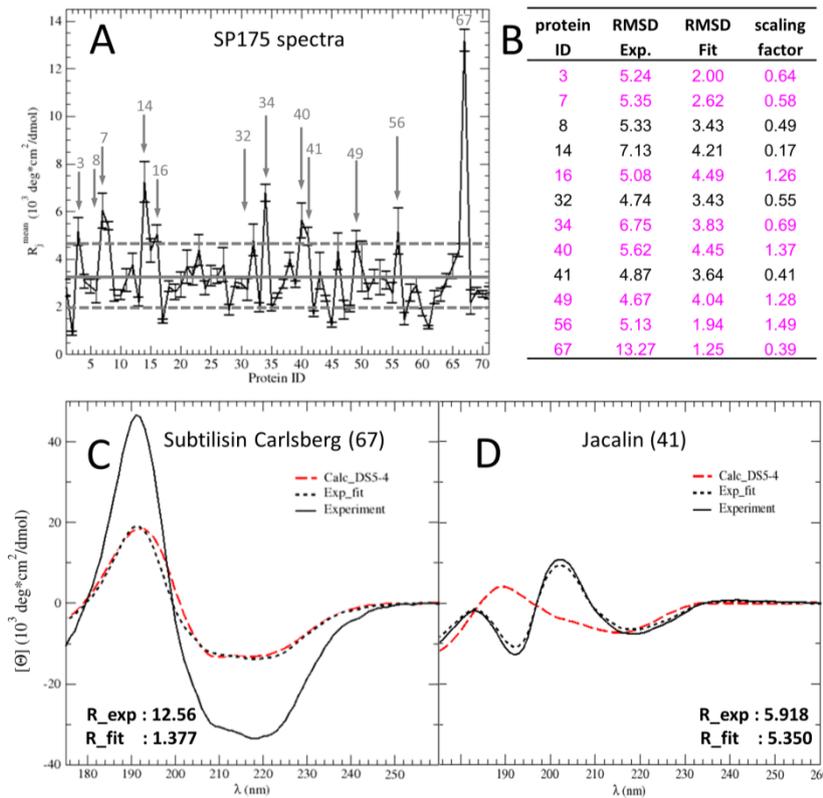
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**Figure 7:** Linear correlations between the deviation of the calculated and experimental CD spectra and the deviation from the ideal secondary structure composition. The table displays the slope (m) and the square of the Pearson correlation coefficient (R<sup>2</sup>) of the fitted linear functions that connect the deviation from the experimental CD spectra (RMSD) to the deviation in secondary structure ( $\Delta$ SS) for  $\alpha$ -helix to coil (A->C),  $\alpha$ -helix to  $\beta$ -strand (A->B) and  $\beta$ -strand to coil (B->C) type deviations. A) The linear fitting functions obtained from systematically altering the secondary structure composition of three selected proteins. B) The RMSD distribution of predicted spectra of the SP175 reference proteins, calculated with the SESCO basis set DS-dT. The vertical lines on the plot indicate the average RMSD (solid) and the standard deviation (dashed) of the predicted spectra for the TS8 cross validation set. The right side of the Table was used to estimate the maximal deviation in the secondary structure composition between the crystal structure and the ideal solution structure of the protein, based on the RMSD of its predicted spectrum.

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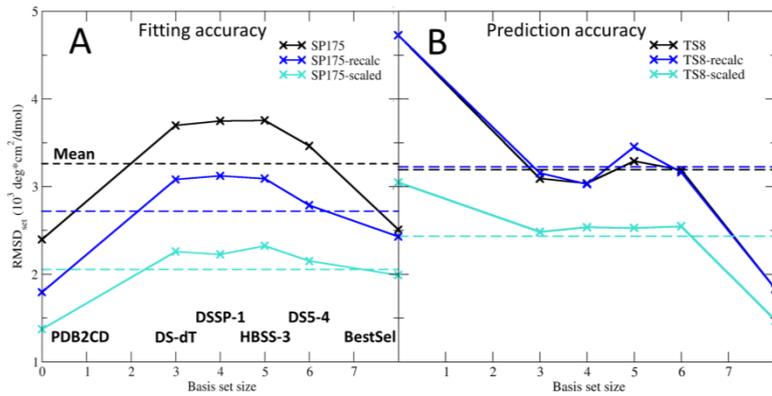
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**Figure 8:** Analysis of the spectrum prediction quality for the proteins of the SP175 data set. A) Mean deviation (RMSD) between the experimental CD spectra and spectra calculated by six different CD prediction methods (described Section 5.3)). The grey line in the Figure represents the average RMSD of the TS8 cross-validation set, and the dashed lines show standard deviation from that mean of the six RMSDs. Twelve hard-to-predict proteins with unusually large mean RMSD are highlighted by grey arrows. B) Mean RMSD of twelve hard-to predict proteins before (RMSD<sub>exp</sub>) and after (RMSD<sub>fit</sub>) the experimental spectra were rescaled, as well as the scaling factors yielding the lowest RMSD. Proteins for which scaling could yield a significantly better agreement with the calculated spectra are marked with magenta. C) Example protein 1: significant RMSD improvement by scaling the experimental CD spectrum and D) Example protein 2: where scaling could not improve the RMSD significantly. For panels C and D the experimental CD spectrum is shown as a solid black line, the rescaled experimental spectrum is shown as a dotted black line, and the spectrum calculated by the basis set DS5-4 is shown as a red dashed line. The name and index number of the protein is shown on the top of the panel, while the unscaled (R<sub>exp</sub>) and scaled (R<sub>fit</sub>) RMSD of the DS5-4 spectrum in kMRE units is shown on the bottom.

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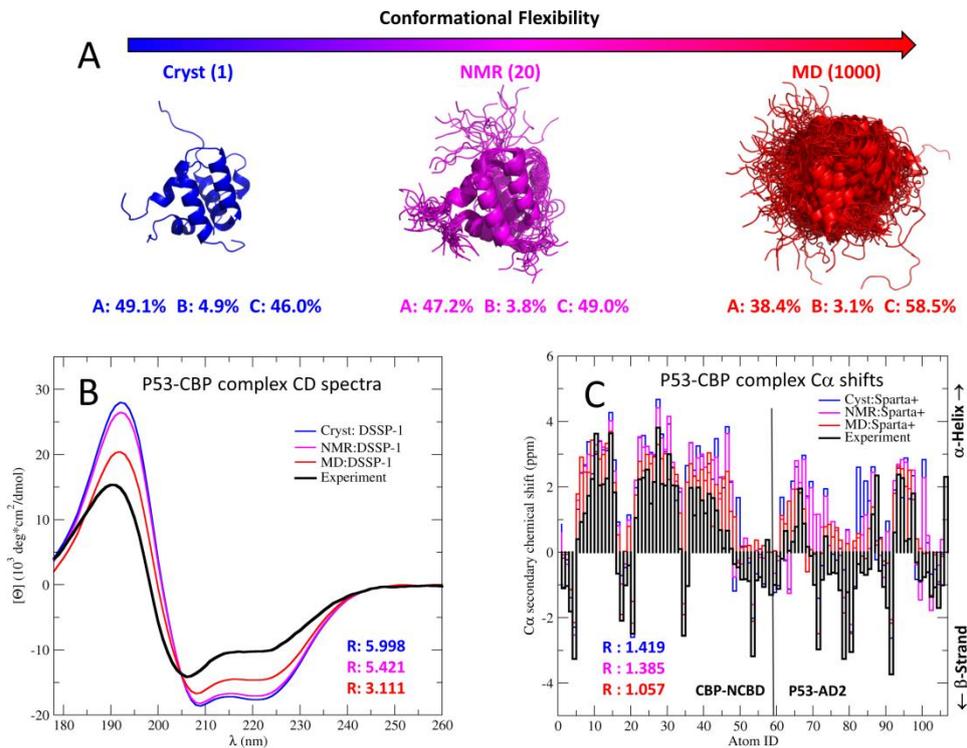
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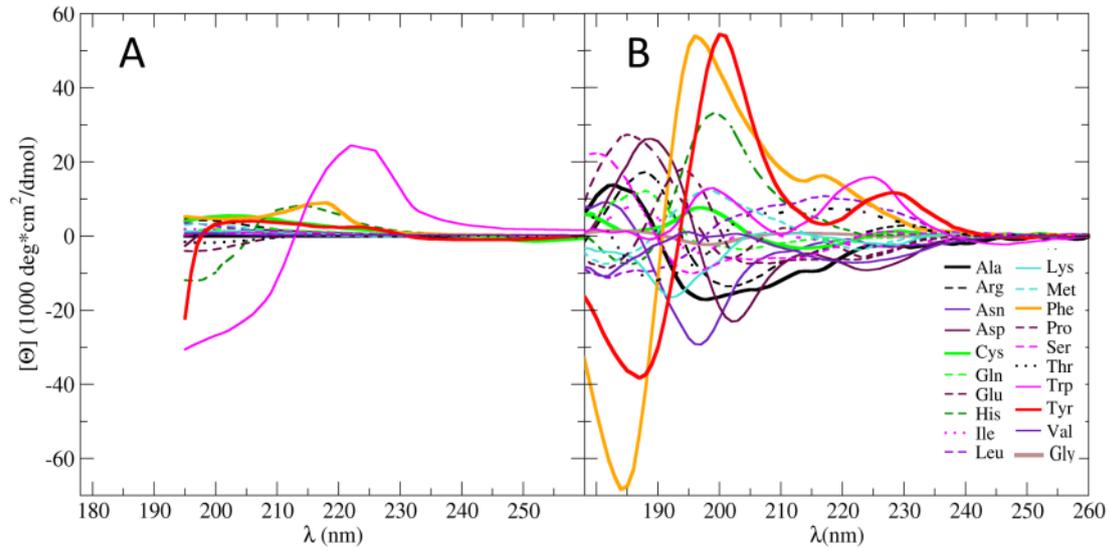
**Figure 9:** Changes in the mean fitting accuracy (Panel A) and prediction accuracy (Panel B). The method independent mean RMSDs (shown as dashed lines) for the SP175 and TSS data sets were calculated as the average RMSD<sub>set</sub> of six spectrum prediction methods (crosses) including PDB2CD, four optimized SESCO basis sets of different sizes and underlying classification schemes (DS-dT, DSSP-1, HBSS-3 and DS5-4), and the BestSel reconstruction basis set. The accuracy calculated for the original unmodified data sets are shown in black, whilst the accuracies calculated after the removal of hard-to-predict proteins from the SP175 reference set and recalculation of the SESCO basis spectra are shown in dark blue. The cyan accuracies were obtained by applying scaling factors to the experimental spectra of both data sets to account for normalization problems.

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1602 **Figure 10:** The impact of conformational flexibility: Comparison between measured  
1603 experimental observables and the same observables calculated from three structural models  
1604 including different levels of protein dynamics. Panel A shows the three structural models: one  
1605 model with no conformational flexibility, consisting of a single structure (Cryst), one model  
1606 with limited flexibility, consisting of a bundle 20 structures from NMR (NMR, PDB code  
1607 2L14), and one highly flexible model with 1000 structures obtained from an MD simulation  
1608 (MD, 100 are shown). The line at bottom of panel A shows the average secondary structure  
1609 composition of the models where A, B, and C abbreviates fractions of  $\alpha$ -helices,  $\beta$ -strands,  
1610 Coil structures, respectively. Panels B and C depict the comparison for the calculated CD  
1611 spectra and  $C\alpha$  secondary chemical shifts of the P53-CBP complex, respectively. The  
1612 measured experimental observables on panels B and C are shown as black solid lines,  
1613 calculated observables are shown in different colours according to the underlying model. The  
1614 RMSD (R: ) from the experimental observable is also shown in the corresponding colour.  
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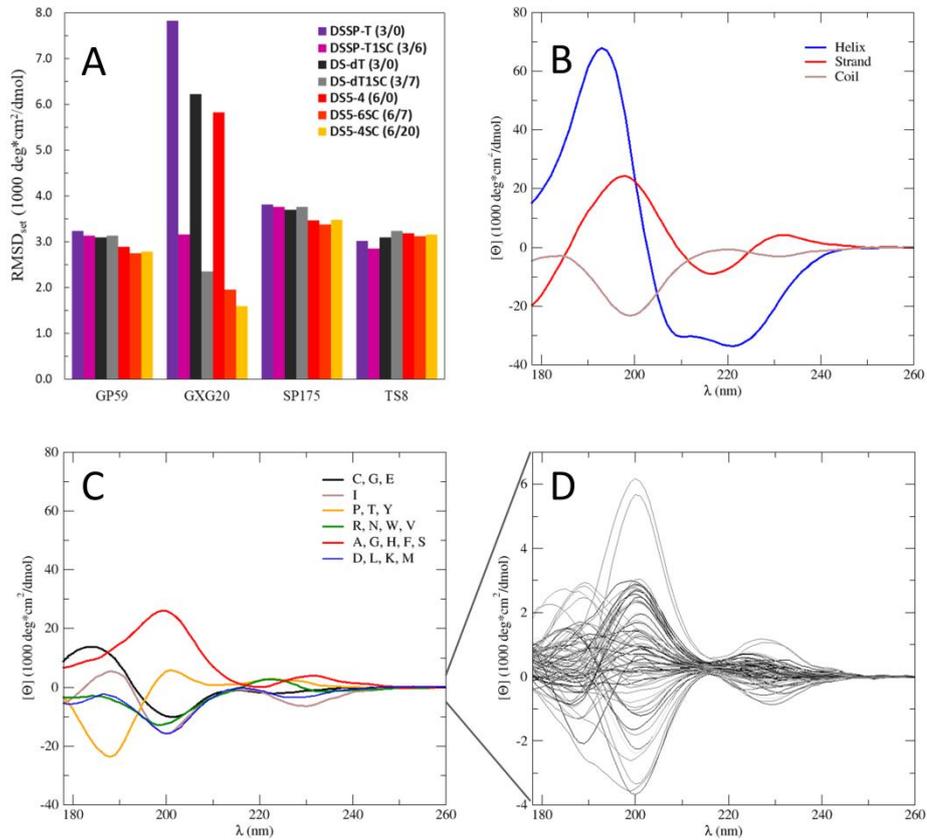
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**Figure 11:** Circular dichroism contribution of amino acid side chains. A) Experimentally measured CD spectra for natural amino acids at pH = 7.0 adapted from Nishino *et al.* [35]. B) Calculated side chain contributions for each amino acid side chain, derived from the CD spectra of 59 globular proteins and the 20 Ac-GXG-NH<sub>2</sub> peptides. The (basis) spectra are colour coded according to the amino acid side chain groups they represent.

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1631 **Figure 12:** Comparison of backbone and side chain contributions. A) Comparison between

1632 selected basis sets with and without side chain corrections. The legends denote the name of

1633 the basis set followed by the number of backbone and side chain basis spectra in brackets.

1634 The accuracy (RMSD<sub>set</sub>) of the basis sets achieved on the globular protein (GP59) and short

1635 peptide (GXG20) sub-sets of their training set, as well as the accuracy for the full SP175

1636 reference set and the TS8 cross-validation set. B) Backbone and C) side chain basis spectra of

1637 the basis set DSSP-dT1SC. The amino acids assigned to the side chain basis spectra are

1638 abbreviated with on-letter codes. D) Combined side chain contributions of the basis set DSSP-

1639 dT1SC for the SP175 reference set. The scale of side chain contributions was changed for

1640 better visibility.

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1642 **Tables:**  
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1646 **Table 1:** Correlation analysis of the spectral components. The six best correlated structural  
 1647 properties are listed for each of the first principal components of the SP175 CD spectra. The  
 1648 table displays the abbreviated code of the structural property (Prop), the Pearson correlation  
 1649 score (Corr.) between the projections of the PC vector, and the coefficients of the structural  
 1650 property (the fraction of secondary structure element or amino acid in a protein), the type and  
 1651 a short description of the structural property. The type (in parenthesis) defines the source  
 1652 algorithm for secondary structure elements (DSSP, HbSS, DISICL or BestSel algorithms)  
 1653 and (AA) for amino acids. The short description shows if the secondary structure element is  
 1654 either associated with  $\alpha$ -helix, irregular helix (Helix),  $\beta$ -strand or turn structures

PC1	Corr.	Prop	Desc.	PC6	Corr.	Prop	Desc.
1	0.921	Hel1 (Best)	$\alpha$ -helix	1	0.201	SER (AA)	Amino A.
2	0.906	Hel1 (SEL)	$\alpha$ -helix	2	0.163	CYS (AA)	Amino A.
3	0.9	ALH (DISICL)	$\alpha$ -helix	3	0.138	RHA (HbSS)	Strand
4	0.898	Hel (DISICL)	$\alpha$ -helix	4	0.157	Hel2 (Best)	Helix
5	0.892	4H (DSSP)	$\alpha$ -helix	5	0.126	Hel1 (SEL)	$\alpha$ -helix
6	0.891	4H (HbSS)	$\alpha$ -helix	6	0.116	ALH (DISICL)	$\alpha$ -helix
PC2	Corr.	Prop	Desc.	PC7	Corr.	Prop	Desc.
1	0.532	EBS (DISICL)	$\beta$ -strand	1	0.285	RHP (HbSS)	$\beta$ -strand
2	0.513	Anti1 (BEST)	$\beta$ -strand	2	0.274	BSP (HbSS)	$\beta$ -strand
3	0.444	NBA (HbSS)	$\beta$ -strand	3	0.25	Para (Best)	$\beta$ -strand
4	0.418	Anti2 (Best)	$\beta$ -strand	4	0.23	Turn (Sel)	Turn
5	0.395	BS (HbSS)	$\beta$ -strand	5	0.205	Bend (DSSP)	Turn
6	0.352	HIS (AA)	Amino A.	6	0.169	GXT (DISICL)	Turn
PC3	Corr.	Prop	Desc.	PC8	Corr.	Prop	Desc.
1	0.31	BS (HbSS)	$\beta$ -strand	1	0.386	3H (DSSP)	Helix
2	0.299	SCH (DISICL)	Turn	2	0.344	3H (HbSS)	Helix
3	0.254	NBS (DISICL)	$\beta$ -strand	3	0.3	5H (HbSS)	Helix
4	0.23	Bend (DSSP)	Turn	4	0.273	HC (DISICL)	Turn
5	0.216	NBA (HbSS)	$\beta$ -strand	5	0.253	MET (AA)	Amino A.
6	0.205	THR (AA)	Amino A.	6	0.139	Other (Best)	Turn
PC4	Corr.	Prop	Desc.	PC9	Corr.	Prop	Desc.
1	0.471	ARG (AA)	Amino A.	1	0.223	ASP (AA)	Amino A.
2	0.397	LHH (DISICL)	Turn	2	0.202	3H(HbSS)	Helix.
3	0.306	Anti2 (Best)	$\beta$ -strand	3	0.192	GLU (AA)	Amino A
4	0.293	NBA (HbSS)	$\beta$ -strand	4	0.152	ILE (AA)	Amino A.
5	0.299	SCH (DISICL)	Turn	5	0.152	3H (DSSP)	Helix
6	0.272	LHT (DISICL)	Turn	6	0.126	PIH (DISICL)	Helix
PC5	Corr.	Prop	Desc.	PC10	Corr.	Prop	Desc.
1	0.394	3HT( DISICL)	Helix	1	0.214	PHE (AA)	Amino A.
2	0.376	3H (DISICL)	Helix	2	0.15	TRP (AA)	Amino A.
3	0.33	3H (DSSP)	Helix	3	0.14	SER (AA)	Amino A.
4	0.321	3H (HbSS)	Helix	4	0.133	RHA (HbSS)	$\beta$ -strand
5	0.296	Cys (AA)	Amino A.	5	0.116	Bend (DSSP)	Turn
6	0.294	Hel2 (SEL)	Helix	6	0.102	LHT (DISICL)	Turn

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